

COAST WATER WORKS DEVELOPMENT AGENCY (CWWDA) WATER AND SANITATION DEVELOPMENT PROJECT





FINAL REPORT

RESETTLEMENT ACTION PLAN (RAP) FOR THE PROPOSED MWACHE/WEST MAINLAND TRANSMISSION PIPELINE PROJECT - KWALE, MOMBASA COUNTIES - KENYA

JUNE 2025





Nachu Plaza, 13th Floor Western Wing Kiambere Road, Upperhill P.O.BOX 9648-00100 Nairobi, Kenya Tel:+254 20 4406162 | +254 722 579272 Email: info@emconsultants.org Webiste: www.emconsultants.org

GENERAL INFORMATION

Project	Mwache West Mainland Transmission Pipeline Project, Kwale, Kilifi and Mombasa Counties, Kenya	
Authors	Kwale, Kilifi and Mombasa Counties, KenyaEMC Consultants LimitedNachu Plaza, 13th Floor Right WingUpperhill, Kiambere Road,Nairobi, KenyaP.O. Box 9648-00100Nairobi, KenyaCell: +254-722-579-272/720-320-543Landline: +254-020-440-6162info@emconsultants.orgwww.emconsultants.org	
Reviewed by:	Tito Kodiaga (Principal) EMC Consultants	

PROJECT PROPONENT- COAST WATER WORKS DEVELOPMENT AGENCY (CWWDA).

Approved by: -Sign: Ag. Chief Executive Officer Coast Water Works Development Agency (CWWDA) Mikindani Street, Off Nkurumah Road, P.O. BOX 90417-80100, Mombasa **Telephone: 041-2315230** Email: info@cwwda.go.ke

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ACRONYMS

AFD	French Development Agency
AIDS	Acquired Immunodeficiency Syndrome
CIT	Contract Implementation Team
DCC	Deputy County Commissioner
DMS	Detailed Measurement Survey
EIB	European Investment Bank
ESF	Environmental & Social Framework for IPF Operations
ESIA	Environmental and Social Impact
ESMF	Environmental Social Management Framework
FGDs	Focus Group Discussions
GPS	Global Positioning System
GRMC	Grievance Redress Management Committees
HIV	Human Immunodeficiency Virus
KII	Key Informant Interviews
KWAWASCO	Kwale Water and Sewerage Company Limited
LPG	Liquefied Petroleum Gas
MOWASSCO	Mombasa Water Supply and Sanitation Company
MWS&I	Ministry of Water, Sanitation, and Irrigation
NEMA	National Environment Management Authority
NLC	National Lands Commission
NML	North Mainland
PAH	Project Affected Households
PAPs	Project-Affected Persons
RAP	Resettlement Action Plan
RoW	Right of Way
RPF	Resettlement Policy Framework
SML	South Mainland
WB	World Bank
WML	West Mainland
WSDP	Water and Sanitation Development Project
WTP	Water Treatment Plant

EXECUTIVE SUMMARY

This Resettlement Action Plan (RAP) covers displacement impacts and mitigation measures of the Mwache West Mainland Pipeline which will run from the Mwache Water Treatment Plant (WTP) located next to Mwache Dam in Kwale County and terminating at the Changamwe reservoirs in Mombasa County. The RAP is prepared by **EMC Consultants Limited** (Consultant) for Coast Water Works Development Agency (CWWDA) (the proponent).

The overall objective of the RAP is to provide necessary details for compensation, resettlement and rehabilitation by identifying (i) the extent of losses; (ii) the policy framework for compensation payments, income restoration, relocation and rehabilitation; (iii) mechanisms for timely disclosure of information to the PAPs and other stakeholders (iv) institutional arrangement for RAP preparation, implementation and monitoring; (v) grievance redress mechanism and (vi) itemized resettlement budget and staggered implementation schedule to ensure timely implementation of RAP provisions in compliance with World Bank's safeguard requirements and before commencement of civil works.

The proposed project components related to construction of the transmission line requires compulsory acquisition of land for Right of Way (RoW)/ easement and therefore leads to economic and physical displacement of persons who are within the proposed project area and therefore triggers the need for a Resettlement Action Plan (RAP) in accordance with OP. 4.12.

Project description and location

The proposed Transmission Pipelines to the West Mainland and Changamwe reservoirs are intended to transmit flow allocations for the West Mainland and the Island from the proposed West Mainland Reservoir to the West Mainland service area and the Changamwe reservoirs respectively. At the Preliminary Design Stage, it was agreed that 2Nr. pipelines be provided, 1Nr. pipeline dedicated to transmitting the flow allocation for the Island to Changamwe Reservoirs and the second Pipeline connected to the West Mainland service area. Based on this concept, and for economic purposes, a single transmission pipeline will be constructed to transmit the combined flow from the West Mainland reservoir to the Changamwe reservoir.

The proposed Mwache/West Mainland transmission pipeline line ('the Project') measures 20.5 km in length by 6m in width and traverses three counties i.e., Kwale County, Kilifi County and Mombasa County. A layout of the proposed Mwache Water Transmission Pipelines Project is shown in **Figure 1-1** which shows the route alignment of the West Mainland and Changamwe transmission pipeline from the proposed new Bonje reservoir to West Mainland, and from the Bonje reservoir to Changamwe (island allocation). The table below shows the administrative location traversed by the proposed pipeline:

Resettlement Action Plan- Mwache West Mainland Transmission Pipeline

County	Sub County	Location	Village
Kwale	Samburu	Kasemeni	Mwamdudu
Mombasa	Jomvu	Jomvu Miritini Mikindani Birikani	Jomvu Kuu Miritini Bayusuf Ngamani Owino Ohuru Mikindani Madafuni Birikani Bangladesh Mkupe

Construction works for the pipeline will involve land acquisition (easement) for the proposed transmission pipeline, excavation, laying of a DN700 diameter water transmission pipeline, backfilling and rehabilitation of disturbed vegetation. The total acreage to be acquired for laying of the transmission line is 21.75 acres. Further acquisition may be required during the construction i.e., for material sourcing e.g., borrow pits or quarry sites. However, this will be confirmed during the construction stage and separate site-specific RAP studies will be prepared where land acquisition will be deemed necessary.

Project Financier

The project's safeguards documents (RAPs) preparation is financed by the World bank under the Water and Sanitation Development Project (WSDP), which is a World Bank project while the construction works are expected to be funded by the Government of Kenya and European Investment Bank (EIB). The funding is processed through CWWDA which is the implementing agency for the Project.

Project Proponent

The CWWDA constituted under Water Act 2016 is mandated to undertake the development, maintenance and management of the national public water works in its area of jurisdiction i.e Coast Region (Mombasa, Kilifi, Kwale, Lamu, Taita Taveta and Tana River Counties) while the direct service provision is done through the Water Service Providers (WSPs) under the County Governments. CWWDA is bestowed with the responsibility of developing sources and infrastructure for bulk water supply to the above counties. As such, CWWDA is the responsible agency for implementing the proposed construction of the Mwache West Mainland Transmission Line Project and consequently transmission of bulk water from the Bonje reservoir to Changamwe reservoir. Mombasa Water Supply and Sanitation Company Limited (MOWASSCO) is the WSP for Mombasa City and is responsible for distribution of the resource to the consumers within the county of Mombasa. It is mandated to:

- Provide quality and economical water and sanitation services to consumers.
- Billing for water and sanitation services and ensure timely collection of dues.
- Routinely maintain water and sanitation services and infrastructure.

• Ensure that standards and licensing requirements are complied with as stipulated by the Service Provision Agreement (SPA) signed with Coast Water Works Development Authority.

RAP Justification

The development of this RAP is informed by the findings of the Environmental and Social Impact (ESIA) for the project including the recommendation for minimizing adverse impacts related to land acquisition. During the ESIA preparation, as part of scoping and impact identification, it was determined that the project would lead to displacement (due to the need for acquisition of Right of Way (RoW)/ Easement for the project) and thereby requiring preparation of RAP as a mitigation measure. The laying of the water transmission pipeline requires at least 6 metres of land in width and 20.5km in length. This required land for RoW/ easement is what has triggered the need for preparation of a RAP due to the displacement impacts.

The project is going to lead to economic displacement of Project Affected Persons (PAPs) who are mainly business operators occupying the planned Right of Way (RoW) of the transmission line. The displacement will be temporary in nature in the case of the business operators/owners who are on the existing RoW and own permanent, semi-permanent and mobile/movable structures that will be temporarily moved out of the proposed pipeline routing and can be re-established later when construction is complete. In regards to permanent and semi-permanent structure owners, due to the nature of the project and its requirements i.e., regular maintenance, they were advised to rebuild away from the transmission line RoW.

The RAP preparation process included a census of PAPs and inventory of affected assets. The total number of PAPs in the project RoW is 273 and acreage to be acquired is 21.75 acres. The proposed pipeline corridor alignment is on government land. It is sections of this land that some residents have put up their business premises on and have thus been captured as PAPs during the census.

In line with World Bank's OP. 4.12, all economically displaced PAPs, regardless of their tenure and user rights, are entitled to compensation for:

- (a) Permanent or temporary physical displacement including loss of structures (permanent, semi-permanent, mobile, movable business) on RoW of the planned water transmission pipeline.
- (b) Temporary loss of business/income due to disruption of business activities resulting from the planned pipeline works.

PAPs with formal and/or recognizable user rights to land and structures, and crops will also receive compensation for:

- 1. Loss of land.
- 2. Loss of structures
- 3. Loss of crops and trees

The RAP preparation is guided by the requirements of Kenyan legislation and the World Bank OP. 4.12; and aims at:

- Mitigating adverse impacts of the planned resettlement
- Providing compensation for loss of assets at full replacement costs
- Ensuring that resettlement activities are implemented with appropriate disclosure of information, consultation, and the informed participation of those affected;
- Improving or, at a minimum, restoring the livelihoods and standards of living of displaced persons to pre-project levels, so as to facilitate sustainable improvements to socio-economic status; and
- Providing differentiated and specialized measures to mitigate transitional hardships for vulnerable groups.

Methodology and Approach

In preparing the RAP, the EMC and the Project proponent, utilized the following methods and approach:

- A review of secondary data including project-related reports, relevant policy and legislative instruments, etc.¹
- Household Census and socioeconomic baseline interviews targeting 100% of the PAPs on the RoW.
- Asset inventory and valuation a census and enumeration of assets of the PAPs which are marked for acquisition. This process included physical assessment, measurement and valuation of affected land, structures, and other interests on the RoW.
- Consultations including Focus Group Discussions (FGDs), Key Informant Interviews (KIIs), public meetings (*barazas*) were conducted with PAPs, CWWDA staff, national and county government institutions among other relevant institutions.

Stakeholder Participation and Consultation

This RAP was prepared in consultation with the PAPs. Several meetings were held with the PAPs between 15th October and 15th November 2022. One meeting was held with PAPs in Kwale County, (Kasemeni location) two meetings held with PAPs in Mombasa County (Miritini, Birikani, Jomvu and Mikindani location). PAPs meetings covered the following issues: description of the project, objectives, components, and implementation activities; property and livelihood impacts associated with project implementation; the resettlement/compensation alternatives and strategies available for PAPs; the rights of PAPs; grievance redress process; valuation principles and procedures; RAP disclosure; and the approval process. PAPs were mainly concerned about the likely impact of the Project activities on their businesses with respect to displacement on the proposed ROW and damage to their structures and the form of compensation that they would be entitled to. Table 0-2 to table 0-7 below indicate meeting venues, number of participants segregated by gender and dates of meetings and a summary of responses to the concerns raised.

 ¹ Land Act (2012) and its amendments (2016), Land Registration Act (2012), National Land Commission Act (2012), Community Land Act (2016), Land Value (Amendment) Act 2019 as well as the World Bank OP 4.12. Other relevant Acts include (i) Valuers Act (1985); Constitution of Kenya (2010), Matrimonial Property Act No 14 of 2013 among others.

Resettlement Action Plan- Mwache West Mainland Transmission Pipeline

Date	Venue	Participants	Males	Females
25/10/2022	Chief Officer's Office	5	3	2
25/10/2022	County Commissioner's Office	3	2	1
25/10/2022	Kwale Water and Sewerage Company	3	3	0
25/10/2022	NEMA-Kwale	4	2	2
26/10/2022	Deputy County Commissioner's Office- Samburu	5	4	1
Total		20	14	6

Table 0-1: Institutional Consultation-Kwale County

Table 0-2: Institutional Consultation-Mombasa County

Date	Venue	Participants	Males	Females
25/10/2022	CWWDA Offices	4	4	0
7/11/2022	County Commissioner-Mombasa	3	2	1
8/11/2022	Deputy County Commissioner's Office-Changamwe Sub County	3	3	0
9/11/2022	Deputy County Commissioner's Office-Jomvu Sub County	3	3	0
2/11/2022	MOWASCO Offices	3	3	0
7/11/2022	Chief Officer Public Works-Mombasa	3	3	0
9/11/2022	Regional Director's Office-KeNHA	2	2	0
9/11/2022	Deputy Regional Director's Office	2	2	0
10/11/2022	Kenya Railways-Mombasa Regional Office	2	2	0
Total		25	24	1

Table 0-3. Institutional Consultation-Kilifi County

Date	Venue	Participants	Males	Females
27/10/2022	Governor Office-Secretary	4	3	1
	Department Of Water and Agriculture	3	3	0
	NEMA Office	3	3	0
	KIMAWASCO	3	3	0
	County Commissioner's Office	3	3	0
28/10/2022	Rabai Deputy County Commissioner	3	3	0
	Mwawesa Deputy County Commissioner	3	3	0
	Department of Lands	3	3	0
	Department of Public Works	3	3	0
Total		28	27	1

Table 0-4: PAPs Consultation-Kwale County

Date Venue		Participants	Males	Females
3/11/2022	Mwamdudu-Bonje	96	56	40
Total		96	56	40

Table 0-5: PAPs Consultation Mombasa County

Date	Venue	Participants	Males	Females
14/11/2022	Jomvu-Miritini	116	60	56
15/11/2022	Mikindani-Birikani	102	77	25
Total		218	137	81

Table 0-6: Summary of consultations

Questions/Comments	Responses
How will valuation be done?	Valuation of assets will be done using the full replacement cost approach.

Resettlement Action Plan- Mwache West Mainland Transmission Pipeline

Will the project purchase our land where the proposed water transmission pipeline will traverse?	Land on the ROW is owned by GOK and will not be purchased instead PAPs with assets on the ROW will be compensated for loss of assets only. Land owned privately, will be purchased by the project.
Is there a grievance redress mechanism system in place and will it be effective?	A grievance redress mechanism will be established and is expected to handle all the grievances.
Apart from compensation for loss of property, are there any other measures the project will take to mitigate this involuntary displacement?	The project will not only compensate PAPs at replacement cost for improvements and on easement, but it will also give the PAPs opportunity to salvage their property at no cost as well add a disturbance allowance of 15% to the compensation package.
	PAPs with requests for assistance during relocation will be catered for as well.
	Finally, to minimize displacement impacts, the route selected is within the ROW.
Will enumeration be done to only landowners or it will include structures owners as well?	All assets on the ROW of way will be enumerated including land, structures, crops, trees, graves etc.
What about the graves, will they be compensated?	All assets on the ROW of way will be enumerated including land, structures, crops, trees, graves etc.
We have some vulnerable people among us who may be adversely affected by the project. What measures will the project take to alleviate this?	The RAP team will note all vulnerable PAPs and the form of vulnerability during the census and include this data in the RAP with a recommendation to the project to ensure these vulnerable PAPs receive special and prompt attention during compensation and implementation.
What will be the form of compensation for lost assets? We would prefer to be compensated in cash and before we vacate the site.	Compensation is normally in cash and in-kind. Your preference to be compensated in cash is noted and will be adopted as the form of compensation subject to decision by NLC.
Will PAPs owning structures along the RoW be allowed to re-build on the RoW?	It is not advisable to re-build on the RoW when construction is complete. The pipeline will require regular routine maintenance and emergency works. Re- building on the RoW will hinder these actions and lead to recurrence of disruption of business. It is therefore advised that re-building be done away from the RoW.

Cut-off Date

All the PAPs have been identified and are eligible for some form of compensation if they occupied the land, owned property, or operated a livelihood activity within areas earmarked for project activity implementation at the 'cut-off date' which has been taken as 2nd December 2022, which was determined as the date of completion of the census and asset inventory surveys. To ensure adequate census and entitlement to compensation, the cut-off date was communicated to the affected individuals during the field activities and consultations. The report shall be updated accordingly if there will be a significant delay of more than 3(three) years between census and resettlement implementation in line with ESF - Guidance Note 5.

Impacts on Land

The land to be acquired as way-leave for construction is on government owned land. The bulk water transmission pipeline Project will acquire a total of **21.75 acres as construction corridor**. Currently the land is under encroachment by PAPs conducting business along the proposed pipeline corridor.

Impact on Business Structures

The project will lead to loss of business structures namely: -

1. The loss of permanent, semi-permanent and movable business structures along the proposed pipeline routing. The structures to be affected are made of either semi-permanent mud walls, GCI walls, wooden walls and mud walls on GCI roofs or makuti roof.

Impact on Business Income

- 1. The loss of businesses/livelihoods as a result of temporary relocation of business structures during construction phase.
- 2. Impacts on loss of businesses/livelihoods as a result of restricted/access (temporary) to existing business premises during excavation and trenching activities during construction.
- 3. The businesses being impacted include food kiosks, retail shops, grocery kiosks, fruit vendors and hardware shops.

Impacts on Cultural Assets

Based on site assessments, there are no graves, shrines, or other cultural assets and practices that may be affected by the planned pipeline works. Project-wide impacts on cultural heritage assets and practices have been conducted as part of the Environmental and Social Impact Assessment (ESIA) and includes key mitigation measures for addressing such impacts.

Impact on Community Access to Infrastructure and Social Services

The project will have no impact on community access to infrastructure and social services. Further, the project will not lead to restriction of access to natural resources during construction, operation and de-commissioning. The construction activities may, however, have adverse impacts or disruption of public utilities e.g., existing electricity lines, internet cables etc. as well as traffic disruption. The ESIA has been prepared for this project and highlights mitigation measures associated with disruption of public utilities. The contractor procured by the Project will be advised to consult and collaborate with utility providers during construction process to minimize disruption of these utilities during the process.

Total PAPs

The project will affect a total of **273** PAPs. The number and type of loss is shown in **table 0-8**. Annex A contains the register of PAPs.

Table 0-8: Summary of Impacts

Type of loss	No. of PAPs
Loss of business structures on the RoW	262

Resettlement Action Plan- Mwache West Mainland Transmission Pipeline

Type of loss	No. of PAPs
Disruption of business and/or restricted access to businesses (mobile traders	11
with movable structures)	
Total Number of PAPs affected	273

The displacement impacts will occur in 5(five) administrative locations in the affected counties as shown below. The RoW of the pipeline traversing the Kilifi County is unoccupied and is free from any encumbrances unlike Mombasa and Kwale Counties.

Table 0-9: Project Affected Persons by Location

County	Location	Category	No. of PAPs
Kwale	Mwamdudu	Business structure owners (permanent/ temporary)	49
		Mobile traders	5
Mombasa	Miritini	Business structure owners (permanent/temporary)	66
		Mobile traders	2
	Jomvu	Business structure owners (permanent/temporary)	90
		Mobile traders	6
	Mikindani	Business structure owners (permanent/temporary)	32
		Mobile traders	0
	Birikani	Business structure owners (permanent/temporary)	23
	Total		273

Vulnerable Groups

Vulnerable PAPs are defined as individuals, groups, households, or communities who by virtue of gender, marital status (widows and or widowers, age (child headed households and orphans), physical or mentally challenged, elderly PAPs living alone, chronically sick, economic disadvantage (living below poverty line) who may require additional support or assistance to adjust to changes induced by the Project. Assistance to these households may include:

- Assistance in moving i.e., providing vehicle, driver, and facilitation at the moving stage, providing ambulance services for disabled or inform persons during moving/ transition period.
- Priority in processing disbursement of compensation packages.
- Materials from the affected structure may be salvaged at the owner's expense within the notice period to vacate defined by the project schedule and prior to demolition.
- In kind Replacement structure of equivalent size (measured floor area) with consideration of functional spatial use at location of owner's own choice but within a defined project area.

Valuation Method

To establish the replacement costs, the coordinates, type of structure, construction details, built up areas, accommodation details and occupancy, status of the different structures were recorded during the data collection phase. This was aimed at establishing the replacement cost of the different structures for compensation purposes. Due to limited reliable market information within and near the Project Area, a Sales Comparison approach could not be applied in the valuation of the affected structures. As a result, the structures have been

valued based on the 'reproduction cost' i.e. the cost of reconstructing an identical structure by using the same materials and design at the time of appraisal, without depreciation. This full replacement approach incorporates fundamental valuation provisions including the Land Act 2012, Land Value (Amendment) Act 2019 and Land Value Index Laws (2018). There are no crops and trees affected by the project.

Compensation Principles and Strategy

The key compensation principles of this RAP are: -

- The PAPs are defined as those who stand to lose land, structures, income, and other assets due to the implementation of the Project.
- Compensation and resettlement of project-affected people will be carried out in compliance with applicable Kenyan legislation and WB OP 4.12.
- Compensation will be as per the Land Act 2012 of Kenya. Where Kenyan • legislation is deemed inadequate to PAPs then WB OP 4.12 requirements shall be recommended and will apply.
- PAPs losing assets, livelihood or other resources will be fully compensated and/or • assisted so that they improve or at least restore their former economic and social conditions.
- Compensation will be provided at full replacement cost, free of depreciation, • transfer costs or eventual salvaged materials.
- All displaced persons will be offered an option between either a full resettlement • package, including the provision of in-kind compensation (land) or cash compensation.
- The Project will provide for measures to support sustainable use of cash • compensation (money management training) and for mechanisms within the overall monitoring framework to follow up the use of cash compensation.
- The RAP implementation and outcomes will be monitored and evaluated as part of • a transparent process. An external qualified provider (firm) will be engaged by CWWDA to monitor the RAP implementation (external monitoring) and conduct RAP completion audit.
- Attention will be paid to vulnerable groups and appropriate assistance provided to • help them to improve their status and other compensation/rehabilitation provisions will equally apply across gender line.
- PAPs will be systematically informed and consulted about the project, and the RAP • will be disclosed to the affected persons.

Table below presents the entitlement matrix. Entitlements have been determined based on Kenyan law and required top-ups to meet OP. 4.12 polices.

Table 0-10: Entitlement Matrix

Impact	Description	of	Entitled person	Compensation measure
	affected			
	property			

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Loss of permanent (fixed) business structures on the ROW	Business Structure	Structure Owner	 Cash compensation (replacement cost) for loss of structures Compensation for loss of income (disturbance allowance) Right to salvage assets and materials Financial training for the sustainable and prudent use of cash compensation
Loss of temporary (mobile/movable) business structures on the ROW	Business Structure	Structure Owner	 Disturbance allowance (for temporary loss of income during construction period) Assistance to move mobile structures. Financial training for the sustainable and prudent use of cash compensation
Loss of business/income on the ROW	Income	Business Owner	 Compensation for loss of income during the duration of construction (Approximately 3 days on a section)² before reestablishing back on the road reserve. Financial training for the sustainable and prudent use of cash compensation

Grievance Management

This RAP provides an accessible, extra-judicial mechanism for managing grievances and disputes based on explanation and mediation by third parties. Each of the affected persons will be able to trigger this mechanism, while still being able to resort to the judicial system. Grievance management proposed in this RAP referred to as Grievance Redress Management Committees (GRMC) will provide three tiers of amicable review and settlement, with the first tier to be located at the project site levels/areas.

First Level: Site/Location Level Grievance Redress Management Committees

This RAP prefers the first level of grievance or conflict redress on RAP related issues as a result of this project to be handled by the GRMC in the project site and handle all forms of grievances in an amicable manner and as an alternative dispute resolution to formal process, which is normally lengthy and costly. Grievances not resolved by this committee will be taken to the second level. In each of the affected locations there will be a Location Resettlement and Compensation Committee and the membership will include:

• The location chief

 $^{^{2}}$ The 3 days are premise that a that the whole line is not laid at a go but in sections. Typical pipe laying takes 3 days for digging the trench, laying the pipe & backfilling.

- The sub locational chief,
- One project affected youth,
- One project affected woman,
- One project affected male,
- A representative of vulnerable PAPs.
- CWWDA representative
- Contractor representative (Works and Supervising Engineer)

Second Level: Sub County Mediation Committee

There will be a mediation committee at each Sub County level to handle grievances that cannot be resolved by the site level committees and membership will include:

- One representative of the Administration; National Government
- One representative of County Administration; -County Government
- One representative of the CWWDA acting as an observer.
- One representative of the construction contractor, acting as an observer
- Three representatives of the affected people, amongst them at least one woman, chosen i.e., from community-based organizations, elders, traders.

Third Level: Resort to Justice

In case this mechanism will not allow an amicable agreement to be reached, the complainant or the defendant can resort to the Kenya's justice specifically the Land Acquisition Tribunal (The Tribunal) established by the Land Value (Amendment) Act, 2019 and Environment and Land Court and could at any time even without going through the established committees.

RAP Implementation Arrangements

Contract Implementation Team

CWWDA through its already established project Contract Implementation Team (CIT) will implement this RAP. The CIT will be responsible for: -

- Validation of PAPs prior to compensation
- Appropriate coordination between the agencies and jurisdictions involved in the RAP implementation.
- Coordinate construction schedule of contractors
- Alert PAPs on when they would be needed to relocate.
- Plan, facilitate and coordinate GRMC trainings and subsequent meetings.

The CWWDA/CIT staffing includes a Social Specialist, Surveyor, Environmentalist, Project Engineer who will be dedicated to this project and who will be the focal points and coordinators with respect to the RAP implementation. The supervising consultant and the works contractor will also each have full time social specialists who will work jointly with CWWDA and other stakeholders in the implementation of the RAP.

County Governments of Kwale and Mombasa

The County Executive Committee Members and staff from Kwale and Mombasa Counties shall contribute with their expertise, their capacity and competence to the implementation

of the RAP; corresponding to the different technical areas they represent (i.e., roads and infrastructure, trade, social assistance, youth, and women).

Monitoring, Evaluation and Reporting

The overall objective of the RAP is to ensure that the PAPs restore and preferably improve upon their pre-project living standards. To achieve this objective, the following monitoring mechanisms will be in place.

- Internal monitoring of process and output indicators
- External monitoring by an independent monitoring agency or an independent consultant to check the extent to which resettlement and rehabilitation objectives have been met.

In addition to routine monitoring reports CWWDA will also submit project completion reports to World Bank when compensation has been paid and RAP implementation is completed.

RAP Cost Estimate

The total budget for resettlement is **Kshs19,226,073.69**. PAPs will be paid their resettlement and compensation entitlements prior to the project works being carried out in the affected project area.

Table 0-11: Cost Budget				
Aspect	Replacement Value (Kshs)			
Structures	2,281,554.00			
Business	12,780,000.00			
Disturbance Allowance (15%)	2,259,233.10			
Sub-Total	17,320,787.10			

Table 0-12: RAP Implementation CostsAspectValue (Ksh)Financial/Money Management Training/Meetings of Grievance866,039.36Resettlement Committees/Administrative Costs (5%)866,039.36External Monitoring and External Coordination for RAP866,039.36Implementation (5%).173,207.87

Sub-Total

Table 0-13: Total RAP Budget

Total RAP Cost	Ksh
Structures	2,281,554.00
Business	12,780,000.00

1,905,286.59

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Sub Total	15,061,554.00
Disturbance Allowance	2,259,233.10
RAP Implementation	1,905,286.59
Grand Total	19,226,073.69

RAP Implementation Schedule

The activities related to construction are expected to commence in the course of the year 2025 by which time the PAPs are expected to have moved their affected property i.e., structures from their site after having been fully compensated in line with the findings of the RAP study.

Public Disclosure

The RAP report will be disclosed on the website of CWWDA, World Bank and AFD's external affairs website. Hard copies of the RAP report will be availed at the County Headquarters, Sub County Headquarters, Chief's office at location level and each GRM Committee will also have a copy of the RAP report.

I PROJECT DESCRIPTION

I.I Project Background

The proposed construction of the Mwache/West Mainland Pipeline (the "Project") is to be financed under the Water and Sanitation Development Project (WSDP) which is a World Bank project. The Project Development Objective (PDO) of WSDP is to improve water supply and sanitation services in select coastal and north-eastern regions in Kenya. This water will emanate from the Mwache Dam also financed by the World Bank and including a Water Treatment Plant (WTP) for the dam that will be financed by the European Development Bank (EIB). This RAP was developed for the Mwache/West Mainland Pipeline Project. The project entails (i) approximately 20.5 km long treated water transmission pipeline along a 6-meter-wide corridor including ancillary infrastructures; (ii) 2Nr. reservoirs, (iii) staff and guard houses and (iv) access roads to the ancillary infrastructures. The scope of this RAP is confined to the transmission pipeline and as such information regarding the ancillary infrastructure is not provided in this RAP. A separate RAP has been prepared for the Mwache Dam and WTP.

I.2 Project Proponent

CWWDA is the Implementing Agency for the Mwache West Mainland Water Transmission Pipelines Project. CWWDA ensures provision of quality and affordable water and sewerage services in its area of jurisdiction within Mombasa, Kilifi, Kwale, Lamu, Taita Taveta and Tana River Counties through its appointed WSPs and is bestowed the responsibility of developing sources and infrastructure for bulk water supply to the above Counties.

I.3 Project Financiers

The project is funded by the Government of Kenya (GoK) EIB. The funding is processed through CWWDA which is the implementing agency for the Project. The project beneficiaries will be the residents of Mombasa city.

I.4 Project Justification

At present, Mombasa City is served by the following bulk water sources, which also supply other towns in the neighbouring counties; data based on the Mombasa Water Distribution Network Master Plan (MIBP & Nippon Koei, 2017) is presented below.

Box 1-1: Mombasa County Bulk Water Sources

- 1) Baricho wellfield: capacity is estimated at 96,000 m3/d, supply to Mombasa is 27,000 m 3 /d
- 2) Mzima springs: capacity is estimated at 35,000 m 3 /d, supply to Mombasa is 15,000 m 3 /d
- 3) Marere springs: capacity is estimated at 12,000 m^3/d , supply to Mombasa is 2,500 m^3/d
- 4) Tiwi Wellfield: capacity is estimated at 10,000 m^3/d , supply

Source: MIBP & Nippon Koei

The total average current supply to Mombasa County is approximately 46,500 m³/d. Updated water demand projections in the review report (ARTELIA/MIBP-July 2021) indicated (year 2020) water demand of 194,699 m³/day, projected to increase to 317,534 m³/day in year 2035 and largely corelate with the projected water demands under the Water Supply Master Plan (Tahal, 2013).

I.5 Project Routing

The proposed Mwache/West Mainland water transmission pipeline is 20.5km by 6.0m wide, and traverses three counties i.e., Kwale, Mombasa and Kilifi Counties. when completed, the pipeline will transmit treated water from the proposed Mwache Water Treatment Plant (WTP) Clear Water Tank Outlet to the terminal reservoirs serving the respective demand areas in Mombasa North Mainland, Mombasa Island, Mombasa West Mainland, and Mombasa South Mainland. The proposed outlet level at the Clear Water Tank is 115 masl, to ensure economic sizing for gravity transmission to all target service areas while maintaining adequate residual pressures. The water transmission Pipelines will transmit treated water from the WTP to the reservoirs mentioned above. A layout of the proposed Mwache Water Transmission Pipelines Project is given in Figure 1-1 below which shows the route alignment of the West Mainland and Changamwe transmission pipeline. The red line indicates the section of the pipeline from the proposed new Bonje reservoir to west mainland, and the yellow line is the express line from the Bonje reservoir to Changamwe (island allocation). The blue line indicates the trunk main from the WTP to the Bonje reservoir other transmission mains (North Mainland) which are outside of the scope of the RAP. The green line is an existing line (Marere springs). A layout of the proposed Mwache Water Transmission Pipelines Project is given in Figure 1-1 below.

I.5.1 Ancillary Infrastructures

The scope of this RAP covers the area impacted upon by the proposed bulk water transmission pipelines. However, it is noted during the study that 2 Nr. reservoirs are proposed namely Changamwe reservoir proposed to be constructed within the site for the existing Changamwe situated along the Nairobi-Mombasa highway, adjacent to the Refinery Road Junction and West Mainland Reservoir located within Bonje area, approximately 150m to the East of the Mombasa-Nairobi Highway and 200m Northwest of the old meter gauge railway line. 2 Nr. double unit semi-detached staff houses (4Nr. units), each of internal floor area 40m with a kitchen, one bedroom, living room, toilet and washing facilities have been provided for each of the new reservoir sites. A guard house with an operations office will be provided at the gate, to regulate and keep records of visitors and for general operation and maintenance of the reservoir. It is, however, noted that the proposed Changamwe reservoir and its ancillary infrastructure do not require any RAP because the land is owned by CWWDA and has existing infrastructure already.

Figure 1-1. West Mainland Alignment



I.6 RAP Justification

The development of the RAP has been informed by the recommendations of the ESIA undertaken for this project. During the ESIA preparation, as part of scoping and impact identification, it was determined that the project would lead to displacement impacts (due to the need for land acquisition for RoW) and, hence requiring a RAP.

The purpose of the RAP is to minimise the project displacement impacts identified by:

- Providing compensation for loss of assets at full replacement cost
- Ensuring that resettlement activities are implemented with appropriate disclosure of information, consultation, and the informed participation of those affected, and
- Improving or at least restoring the livelihoods and standards of living of affected persons.

I.7 RAP Objectives

The main objectives are:

- a) To identify PAPs and their assets and determine the extent of involuntary resettlement of the project and put in place measures to minimise and/or mitigate such impacts.
- b) To value PAPs assets (compensation costs, livelihood/disturbance allowances and other assistance values) and provide a strategy for the compensation of PAPs
- c) To set out strategies for the implementation of the RAP, including the process through which to acquire the necessary land for the implementation of the project activities; and
- d) To carry out consultations with community members and other stakeholders, including PAPs, and make them aware of the project and to obtain their concerns regarding the economic and social impacts of the proposed project and mitigation measures.

1.8 Project Efforts to Minimise Displacement Impacts

Efforts have been made using all appropriate options of engineering design in finalizing the water transmission pipeline alignment to minimize the resettlement impacts. As per World Bank OP. 4.12, to avoid, minimize and compensate the unavoidable impacts, an alternative analysis and assessment is required to arrive at the best option with minimum resettlement impacts. The area for transmission alignment was selected keeping in view the minimum settlements located in the proposed RoW. The design stage identified two options for the possible project routing. The options are as below;

- a) Option 1; A Common Transmission Main feeding the West Mainland Supply Area and Transmitting the Island allocation to the Changamwe Reservoirs, total length 11.6km.
- b) Option 2; Dedicated Transmission Mains for the Island and West Mainland, comprising of a twin transmission main with one transmission main dedicated to transmit the Island allocation to the Changamwe Reservoirs and the second pipeline exclusively feeding the West Mainland demand.

To this effect, the Project settled on Option 2 as the most viable. The Transmission Pipelines to the West Mainland and Changamwe are to be laid within the road reserve of the Nairobi-Mombasa highway. The road reserve is generally open land, partially paved at shop frontages and on sections with slip roads to adjacent warehouses/ factories. No easement acquisition is foreseen for these Pipelines since they are to be laid within the road reserve, with the express pipeline to Changamwe on the right-hand side and the pipeline serving West Mainland on the left-hand side. However, there are numerous evident encroachments into the road reserve on both sides of the road, which necessitated the preparation of this RAP and subsequent implementation. These range from temporary structures, semipermanent to permanent buildings, perimeter walls, etc.

A number of alternatives and mechanisms have also been considered to avoid or minimise resettlement/displacement and restricted access to socio-economic services during the design and implementation of project activities. This is also in consideration of the concerns of community members and institutional stakeholders consulted.

- Use of manual excavation: The contracting firm will as much as possible use manual excavation in built-up areas. This will enable the project to minimise the extent of structural damage associated with machine excavation in such heavily built-up areas.
- Selection of non-residential sites. As much as possible, besides engineering design prerequisites, site selection has been guided by the desire to minimise the displacement of human settlements/residences.
- Social services access points such as schools, markets and health facilities along the project corridor will remain open or alternative entry points will be provided. One strategy is to work on the entry points of such service institutions during weekends or during breaks when the access points are not fully engaged.

2 RAP APPROACH AND METHODOLOGY

The chapter describes the approach and methodology used in preparing the RAP.

2.1 Literature Review

2.1.1 Secondary Data

Data from secondary sources were collected and reviewed including relevant policies, legislations, and statutes as well as development statistics of the project area. The documents that were reviewed include among others:

- 1. Resettlement Policy Framework (RPF) for WSDP
- 2. Feasibility Study report
- 3. Project layout maps (in pdf and AutoCAD Formats)
- 4. Project routing in Shapefile/Contours, AutoCAD DWG, DXF
- 5. Specific Kenya's relevant legal statutes

2.1.2 Delineation of Study Area and Identification of PAPs

The process adopted in identifying the PAPs and affected assets was as follows:

- The consultant informed the 3 county governments, national government (county commissioner, chiefs and assistant chiefs, village heads) in the areas about the proposed RAP study in advance of the consultations, census and asset inventory.
- Using coordinates given by client, the asset surveyors prescribed the Project Area of Impact (ROW) using GPS instruments and identified land that would be affected within those boundaries.

2.1.3 Data Primary Sources

2.1.3.1 Field Surveys

The preparation of this RAP involved fieldwork in the project ROW and survey activities including the execution of: (i) PAP census survey, (ii) a socio-economic survey, and (iii) a valuation covering all affected assets. To conduct socio-economic baseline survey and assessment, a range of tools and data gathering techniques used in the field are summarized below.

• Household Socio-Economic Surveys

The surveys provided a detailed socio-economic profile of the PAPs. The socio-economic surveys were undertaken using a structured questionnaire to identify the characteristics of the potentially affected population ensuring that all the different categories and groups of people to be affected by the proposed project are captured and consulted. The findings of socioeconomic studies to be conducted in the early stages of project preparation and with the involvement of potentially displaced people, including (a) the results of a census survey covering (i) current occupants of the affected area to establish a basis for the design of the resettlement program and to exclude subsequent inflows of people from eligibility for compensation and resettlement assistance; (ii) standard characteristics of displaced households, including a description of production systems, labor, and household organization; and baseline information on livelihoods (and standards of living (including health status) of the displaced population; (iii) the magnitude of the expected loss-total or partial--of assets, and the extent of displacement, physical or economic Para 6, Annex A, OP 4.12.

• Census Survey

The census survey was carried out for 100% of the PAPs falling within the proposed project route. A structured questionnaire was administered to collect quantitative and qualitative information on PAPs. (See study tool in Annex I):

• Asset inventory

An asset inventory was conducted involving marking, enumeration and detailed Measurements of each affected asset. Through the inventory, the exact size, type, and quality of each asset with quantum of impacted/acquired part thereof with ownership title, type and use were determined and inventory of losses documented. The asset inventory was carried with participation of PAPs in identifying and measuring of the exact dimensions and quantities and valuation of all affected assets, including, as applicable, land and structures. All property information collected was recorded on a 'Compensation Assessment Record Sheet' specifically designed for this purpose and later transferred to a valuation roll submitted as part of a valuation report, **Annex A**.

• Valuation of Affected Property and Assets

Following the asset inventory, assessment of the value of existing structures and land affected by the project based on full replacement cost was undertaken by a registered valuer. The valuation of the inventoried affected assets has been computed and will be updated with official valuation to be done by the National Land Commission (NLC) as provided for by the NLC Act, 2012 during the RAP compensation process.

2.2 PAPs and Stakeholder Consultations

The RAP team undertook consultations with the PAPs to ensure that the project activities and the likely displacement impacts on them and their livelihoods were explained. Five (5) meetings were held in Kwale County with relevant institutions, nine (9) meetings with relevant institutions were held in Mombasa and nine (9) meetings with relevant institutions in Kilifi Counties. One meeting was held with PAPs in Kwale County, two meetings held with PAPs in Mombasa County. These meetings were conducted between 25th October 2022 and 2nd December 2022. (See **Annexes B and C**, List of stakeholders consulted and minutes of meetings). **Table 2-1** below shows the consultation methods used by category of PAP and or stakeholders.

Activity	Target Groups /areas	Methods/Techniques
PAP census	PAPs	Household interviews
Key institutional stakeholder consultations	Key stakeholder consultations held with National and County Government Agencies Quasi- Governmental Agencies ³	Key informant interviews
Community consultations	Community fora	Community dialogues

|--|

³ Officials interviewed included county and deputy county commissioner of Mombasa, Kwale & Kilifi, Kenya Railways, MOSWASCO, KeNHA, KURA, KFS, NEMA, Chiefs, Department of Public Works

3 POLICY, LEGAL AND INSTITUTIONAL FRAMEWORK

This section provides the legal background and legislative process in Kenya with respect to land acquisition, resettlement and livelihood restoration, and a comparison with OP. 4.12.

3.1 The Kenyan Constitution, 2010

The Constitution of Kenya, 2010, protects the sanctity of private property rights and states that no property can be compulsorily acquired by the Government except in accordance with law. Article 40(3) states:

"The State shall not deprive a person of property of any description, or of any interest in, or right over, property of any description, unless the deprivation–results from an acquisition of land or an interest in land or a conversion of an interest in land, or title to land, in accordance with Chapter five; or is for a public purpose or in the public interest and is carried out in accordance with this Constitution and any Act of Parliament that -

- i. Requires prompt payment in full of just compensation to the person; and
- ii. Allows any person who has an interest in or right over, that property a right of access to a court of law.

The Constitution empowers the state to exercise the authority of compulsory acquisition. Article 40 of the Constitution provides that the state may deprive owners of property only if the deprivation is "for a public purpose or in the public interest," which includes public buildings, roads, way leaves, drainage, irrigation canals among others. Article 40(3) (a) refers to acquisition and conversion of all kinds of land in Kenya (private, public, community land and foreign interests in land). The Constitution further provides that payment of compensation shall be made to "occupants in good faith" of land acquired by the state who do not hold title for such land. An occupant in good faith is a "bona fide" occupant.

3.2 The Land Act (2012), Revised 2019.

The Land Act 2012 is the Kenya's framework legislation regulating compulsory acquisition of land (i.e., land, structures, crops, and trees etc.).

Relevant Sections

- Section 7 provides for the methods of acquisition of title to land including compulsory acquisition.
- Section 9 provides for conversion of land from one category to another in accordance with the provisions of the Land Act or any other written law.
- Section 49. (1) provides guidelines of land transfers including succession related due to death.

3.2.1 The Land Laws (Amendment) Act 2016

The Land Laws (Amendment) Act, 2016 revises the Land Act, 2012, the Land Registration Act, 2012 and National Land Commission Act, 2012. It also sets out regulations to give effect to Articles 67 (2) (e) and 68 (c) (i) of The Constitution of Kenya which deal with the National Land Commission's function of initiating investigations into present or historical land injustices and reparation and, prescribed minimum and maximum land holding acreages for private land respectively. The following is a brief outline on the key changes introduced by Land Laws (Amendment) Act to the Land Act, No. 6 of 2012. The Cabinet Secretary has been granted the following additional powers:

- The power to provide policy direction regarding all classes of land in consultation with the National Land Commission where appropriate.
- The power to provide to coordinate the development and implementation of a National Land Information System in collaboration with the Commission, and
- The power to administer and undertake all dealings including registration of private land interests subject to the provisions on compulsory acquisition.

Unlawful occupation of private, community or public land is expressly prohibited. The Act also now sets out a procedure for eviction for any such unlawful occupants.

3.2.2 Land Value (Amendment) Act, 2019

The Land Value (Amendment) Act, 2019 has amended various sections of the Land Act 2012, the Land Registration Act as well as the Prevention, Protection and Assistance to Internally Displaced Persons and Affected Communities Act. The Act aims at standardizing the value of land in Kenya for the primary purpose of enhancing efficiency and expediting the compulsory land acquisition process. Below are the key highlights:

Acquisition of land before Payment of Compensation

Previously, the National Land Commission (NLC) was required to compensate a landowner prior to taking possession of the land. However, the Act now allows the NLC to take possession of the land and pay compensation at a later date within a reasonable amount of time (not later than one year).

Establishment of the Land Acquisition Tribunal

The Act establishes the Land Acquisition Tribunal (the Tribunal) which shall hear disputes related to the compulsory land acquisition process and in determining such disputes, confirm, vary, or quash the decision of the NLC. Previously, disputes were referred to the Environment and Land Court (ELC). However, following the passing of this Act, the Tribunal has first instance jurisdiction to hear such disputes with the ELC exercising appellate jurisdiction. Additionally, the Act also provides that where the NLC has taken possession of the land, no order stopping any development of the land may be issued by any court if public funds have already been committed to its development. In essence, this provision bars the Court from granting stay orders, including interim injunctions, once a government project is underway.

Criteria for assessing the value of compulsorily acquired land

The Act provides that valuation of land for purposes of compensation shall be based on the Land Value Index. This is an analytical representation showing the spatial distribution of land values in a given geographical area at a specific time. In calculating the Land Value Index, the declared value of the land for purposes of payment of rates, rents or stamp duty shall be taken into account in addition to other factors provided in the Act such as the increase in the value of the land due to improvements made on it. This RAP applied this process during valuation of affected assets.

Additional forms of compensation

Apart from monetary compensation, the following new forms of compensation have been introduced under the Act:

- Allocation of an alternative parcel of land of equivalent value and comparable geographical location and land use to the land compulsorily acquired.
- Issuance of government bond.
- Grant or transfer of development rights as may be prescribed.
- Equity shares in a government-owned entity; and
- Any other lawful compensation.

3.2.3 National Land Commission Act 2012

NLC is an independent government commission whose establishment was provided for by the Constitution of Kenya, 2010 to, amongst other things, manage public land on behalf of the national and county governments, initiate investigations into present or historical land injustices and recommend appropriate redress, and monitor and have oversight responsibilities over land use planning throughout the country. [1] It was officially established under The National Land Commission Act, 2012. Article 67(2) of the Constitution, the functions of the Commission are to;

- Manage public land on behalf of the national and county governments.
- Compulsorily acquire land for national and county governments
- Compensate acquired land on behalf of national and County government.

Under the National Land Commission Act 2012, the Commission shall:

- On behalf of, and with the consent of the national and county governments, alienate public land.
- Monitor the registration of all rights and interests in land.
- Develop and encourage alternative dispute resolution mechanisms in land dispute handling and management.
- Approve compulsory acquisitions, way leaves, easements, and analogous rights.

3.2.4 The Valuers Act, 1985

Valuation of land is a critical aspect of compulsory acquisition practice and compensation. The National Land Commission based on land valuation determined by registered valuers will make compensation awards. Besides, the Valuers Act establishes the Valuers Registration Board, which regulates the activities and practice of registered valuers. All valuers must be registered with the Board to practice in Kenya. The preparation of this RAP followed the provisions set out in the Valuers Act.

3.2.5 Matrimonial Property Act No 49 of 2013 Ownership of Matrimonial Property

Part III (clause 7) States that: - Subject to section 6(3), ownership of matrimonial property vests in the spouses according to the contribution of either spouse towards its acquisition and shall be divided between the spouses if they divorce or their marriage is otherwise dissolved. This also includes assets like land. The Act (section 4) further provides that a married woman has the same rights as a married man: to acquire, administer, hold, control, use and dispose of property whether movable or immovable; to enter into a contract; and to sue and be sued in her own name. The Act (section 7) states that where there is no prenuptial agreement, matrimonial property vests in the spouses according to the contribution of either spouse towards its acquisition and shall be divided (not equally) between the spouses. The Act (section 12) states that matrimonial property cannot be sold, leased, or mortgaged during a monogamous marriage without the consent of both spouses (See section 12(1)).

3.2.6 Environment and Land Court Act 2012

The Kenya Constitution establishes Environment and Land Court. Article 162 of the constitution provides for the creation of specialized courts to handle all matters on land and the environment. Such a court will have the status and powers of a High Court in every respect. Article 159 on the principles of judicial authority, indicates that courts will endeavour to encourage application of alternative dispute resolution mechanisms, including traditional ones, so long as they are consistent with the constitution. Section 20, of the Environment and Land Court Act, 2011 empowers the Environment and Land Court, on its own motion, or on application of the parties to a dispute, to direct the application of including traditional dispute resolution mechanisms. Any person whose land has been compulsorily acquired may petition the Environment and Land Court for redress with respect to:

- The determination of such person's right over the land;
- The amount offered in compensation; and
- The amount offered in compensation for damages for temporary dispossession in the case of the Government's withdrawal of its acquisition of the land.

3.3 Land Tenure and Rights in Kenya

3.3.1 Customary Land Tenure

This refers to unwritten land ownership practices by certain communities under customary law. Kenya being a diverse country in terms of its ethnic composition has multiple customary tenure systems, which vary mainly due to different agricultural practices, climatic conditions and cultural practices. However most customary tenure systems exhibit number of similar characteristics as follows: individuals or groups by virtue of their membership in some social unit of production or political community have guaranteed rights of access to land and other natural resources. Individuals or families thus claim property rights by virtue of their affiliation to the group.

3.3.2 Freehold Tenure

This tenure confers the greatest interest in land called absolute right of ownership or possession of land for an indefinite period of time, or in perpetuity. The Land Registration Act, 2012, governs freehold land. The Act provides that the registration of a person as the proprietor of the land vests in that person the absolute ownership of that land together with all rights, privileges relating thereto.

3.3.3 Leasehold Tenure

Leasehold is an interest in land for a definite term of years and may be granted by a freeholder usually subject to the payment of a fee or rent and is subject also to certain conditions which must be observed e.g., relating to developments and usage. The maximum term of government leases granted in Kenya is 99 years for agricultural land and urban plots.

3.3.4 Public Tenure

This is where land owned by the Government for her own purpose, and which includes unutilized or un-alienated government land reserved for future use by the Government itself or may be available to the general public for various uses. The land is administered under the Land Act 2012. Categories of government land include forest reserves, other government reserves, alienated and un-alienated government land, national parks, townships and other urban centers and open water bodies.

3.4 Land Acquisition Process in Kenya

Step 1. Proof that Compulsory Possession is for Public Good

It is very explicit in the Land Act, 2012, Section 107, that whenever the national or county government is satisfied that it may be necessary to acquire some particular land under section 110 of Land Act 2012, the possession of the land must be necessary for public purpose or public interest, such as, in the interests of public defense, public safety, public order, public morality, public health, urban and planning, or the development or utilization of any property in such manner as to promote the public benefit. Water transmission pipeline projects are explicitly identified as qualifying for land acquisition as public utility and the necessity therefore is such as to afford reasonable justification for the causing of any hardship that may result to any person having right over the property, and so certifies in writing, possession of such land may be taken.

Step 2. Publication of Notice of Intention to Acquire

Upon approval, NLC shall publish a notice of intention to acquire the land in the Kenya Gazette and County Gazette. It will then serve a copy of the notice to every person interested in the land and deposit the same copy to the Registrar.

Step 3. Inspection of Land to be acquired.

NLC may physically ascertain or satisfy itself whether the intended land is suitable for the public purpose, which the applying authority intends to use as specified. If it certifies that indeed the land is required for public purpose, it shall express the satisfaction in writing and serve necessary notices to landowners and or approve the request made by acquiring authority intending to acquire land.

Step 4. Valuation of the land

Part III of the Land Act 2012, section 113 (2a) states that "the Commission shall determine the value of land with conclusive evidence of (i) the size of land to be acquired; (ii) the value, in the opinion of the Commission, of the land; (iii) the amount of compensation payable, whether the owners of land have or have not appeared at the inquiry." The final award on the value of the land shall be determined by NLC and shall not be invalidated by reason of discrepancy, which may be found to exist in the area. The market value of the property, which is determined at the date of the publication of the acquisition notice, must be considered. Determination of the value has to take into consideration the conditions of the title and the regulations that classify the land use e.g., agricultural, residential, commercial or industrial.

Step 5. Serve the notice of inquiry

Thirty days after the publication of the Notice of Intention to Acquire, NLC will schedule a hearing for public inquiry. NLC must publish notice of this meeting in the Kenya Gazette and County gazette 15 days before the inquiry meeting and serve the notice on every person interested in the land to be acquired.

Step 6. Holding of a Public Hearing

NLC then convenes a public hearing not earlier than 30 days after publication of the Notice of Intention to Acquire. On the date of the hearing, NLC must conduct a full inquiry to determine the number of individuals who have legitimate claims on the land, the land value and the amount of compensation payable to each legitimate claimant. Besides, at the hearing, the Commission shall-make full inquiry into and determine who are the persons interested in the land; and receive written claims of compensation from those interested in the land.

Step 7. Award of Compensation

Upon the conclusion of the inquiry, and once the NLC has determined the amount of compensation, NLC will prepare and serve a written award of compensation to each legitimate claimant. NLC will publish these awards, which will be considered "final and conclusive evidence" of the area of the land to be acquired, the value of the land and the amount payable as compensation. The Land Value Amendment Act 2019 prescribes the forms of compensation.

Step 8. Payment of Compensation

A notice of award and offer of compensation shall be served to each person by the Commission. Section 120 provides that "first offer compensation shall be paid promptly" to all persons interested in land. Section 119 provides a different condition and states that the NLC "as soon as practicable" will pay such compensation. Where such amount is not paid on or before the taking of the land, the NLC must pay interest on the awarded amount at the market rate yearly, calculated from the date the State takes possession until the date of the payment. In cases of dispute, the Commission may at any time pay the amount of the compensation into a special compensation account held by the Commission, notifying owner of land accordingly. If the amount of any compensation awarded is not paid, the Commission shall on or before the taking of possession of the land, open a special account

into which the Commission shall pay interest on the amount awarded at the rate prevailing bank rates from the time of taking possession until the time of payment.

Step 9. Transfer of Possession and Ownership to the State

Once first offer payment has been awarded, the NLC will serve notice to landowners in the property indicating the date the Government will take possession. Upon taking possession of land, the commission shall ensure payment of just compensation in full. When this has been done, NLC removes the ownership of private land from the register of private ownership and the land is vested in the national or county Government as public land free from any encumbrances. On receipt of the documents of title, the Registrar shall-cancel the title documents if the whole of the land comprised in the documents has been acquired.

3.5 Institutional Responsibilities

Responsibility for ensuring that all aspects of resettlement and compensation planning and implementation are taken into consideration falls to project proponents or project implementation bodies. The main institutions involved in land management, compensation and resettlement in this project are briefly described below.

Institution	Roles	
Coast Water Works Development Authority	Sourcing for counterpart funds for the RAP implementation and implementing all aspects of the RAP.	
National Land Commission	Approving payment/compensation to the PAP, and ensuring prompt, fair and adequate compensation.	
County Governments of Kwale, and Mombasa	Support the resettlement process including validation of census and asset valuation, supporting PAPs during transitional period.	
Ministry of Interior and National Administration	DCC will chair the GRM mediation committee, the chiefs and assistant chiefs will help in the identification of PAPs, convening of the disclosure meetings and supporting in the disclosure exercise	

Figure 3-1: Institutional Involvement and Roles in Resettlement Compensation

3.6 World Bank Involuntary Resettlement Policy

World Bank OP. 4.12 is a common standard of approved principles and guidelines for compensation/resettlement for this type of project. World Bank principles should, however, be harmonised with the national laws of the subject country where the project is to be funded to the extent possible. Where there are differences, World Bank OP 4.12, applies. World Bank's O.P. 4.12 on 'Involuntary Resettlement' requires that displaced persons should be compensated at full replacement cost, assisted with relocation/resettlement and during the transition period. The main features of O.P. 4.12 are as follows:

- a) All viable alternative project designs should be explored to avoid or minimise the need for resettlement and when it cannot be avoided, to minimise the scale and impacts of resettlement.
- b) Resettlement measures are to be conceived and executed as development activities.
- c) Assistance should be given to the community in their efforts to improve former production levels, income-earning capacity and living standards, or at least restore them to the levels at which they would have been without the project.
- d) Displaced persons should be:

- a. Compensated at full replacement cost prior to the actual move;
- b. Assisted with relocation; and
- c. Assisted and supported during the transition period.
- d. Particular attention will be given to socially disadvantaged and vulnerable groups such as the very poor, the disabled, minorities, orphans and childheaded families, encroachers, squatters, and others without clear legal rights to land, those incapacitated by advanced age, among others.
- e) Communities will be given opportunities to participate in planning, implementing, and monitoring their resettlement/compensation.
- f) Squatters and encroachers are entitled to "resettlement assistance in lieu of compensation for the land they occupy ... if they occupy the project area prior to" the date of the beginning of the census or prior to the date the project area was delineated, whichever date is earlier [OP 4.12, para. 16]).

3.6.1 Differences Between Kenyan Laws and The World Bank Policy

There are some differences between the World Bank policy and the laws of Kenya on resettlement and compensation. **Table 3-2** outlines World Bank OP. 4.12 policy on involuntary resettlement and compares them to the Kenyan legislation on the same. Recommendations are made on the existing gaps of the Kenyan laws. In general, where there is a difference between Kenyan law and OP 4.12, the latter shall prevail.

Table 3-2: Comparative Analysis of World Bank OP 4.12 and Government of Kenya requirements including measures to address gap

OP 4.12	Kenyan Legislation	Comparison	Recommendation to Address Gap		
GENERAL REQUIREMENTS					
World Bank OP 4.12 has overall policy objectives, requiring that: 1. Involuntary resettlement should be avoided wherever possible, or minimized, exploring all alternatives.	 According to Kenyan Legislation, involuntary resettlement may occur as a result of projects implemented in public interest. 	1. The Land Act does not stipulate that resettlement should be avoided wherever possible; on the contrary, as long as a project is for public interest, involuntary	• For RAP, ensure that resettlement issues are considered at the design stage of the project in order to avoid/ minimize		
2. Resettlement programs should be sustainable, include meaningful consultation with affected parties, and provide benefits to the affected parties.	2. The Land Act, 2012 Act outlines procedures for sensitizing the affected population to the project and for consultation on implications and grievance procedures.	resettlement is considered to be inevitable.2. Same as the World Bank	 Implement World Bank OP 4.12 policy - displaced should be assisted in improving their livelihood to pre- 		
3. Displaced persons should be assisted in improving livelihoods etc., or at least restoring them to previous levels.	3. The Land Act 2012 guarantees the right to fair and just compensation in case of relocation.	3. Just and fair compensation as outlined in the Land Act 2012 is not clear and can only be determined by NLC, which can be subjective. It does not talk about improving livelihood or restoring them to pre-project status.	project status.		
OP 4.12	Kenyan Legislation	Comparison	Recommendation to Address Gap		
PROCESS REQUIREMENTS					
OP 4.12	Kenyan Legislation	Comparison	Recommendation to		
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			Address Gap		
Consultation and Disclosure Displaced persons should be meaningfully consulted and should have opportunities to participate in planning and implementing resettlement programs.	The Land Act 2021 outlines procedures for consultations with affected population by NLC and grievance management procedures.	Same as World Bank	Implement consultation procedures as outlined in both Kenyan legislation and World Bank.		
Displaced persons and their communities () are provided with timely and relevant information, consulted on resettlement options, and offered opportunities to participate in planning, implementing, and monitoring resettlement.					
Documents to be disclosed will be in a format that is accessible to the affected communities.					
It is necessary to undertake and document a process of consultation in a manner that provides the affected communities with opportunities to express their views and allows the project to consider and respond to them.					
The consultation process will be undertaken in a manner that is inclusive and culturally appropriate. It is necessary to tailor the consultation process to the language preferences of the affected communities, their decision-making process, and the needs of disadvantaged or vulnerable groups.					

OP 4.12	Kenyan Legislation	Comparison	Recommendation to Address Gap
For projects with significant adverse impacts on affected communities, the consultation process will ensure their free, prior and informed consultation and facilitate their informed participation.			
Grievance Mechanism: For physical and economic resettlement, appropriate and accessible grievance mechanism will be established. It is necessary to establish a grievance mechanism to receive and facilitate the resolution of the affected communities' concerns and grievances about the Project's environmental and social performance.	Land Act 2012 clearly outline the steps and process for grievance redress that includes alternative dispute resolution, re-negotiation with NLC and is backed by the judicial system through the Land Acquisition Tribunal as established by the Land Value (Amendment) Act 2019 and Environmental and Land Court as established by the Constitution 2010.	Kenyan legislation meets OP 4.12 requirements.	Implement grievance procedures as outlined in both Kenyan legislation and World Bank.
The grievance mechanism should address concerns promptly, using an understandable and transparent process that is culturally appropriate and readily accessible to all segments of the affected communities, and at no cost and without retribution. It is necessary to inform the affected			
communities about the mechanism in the course of its community engagement process.			

OP 4.12	Kenyan Legislation	Comparison	Recommendation to Address Gap
Eligibility Criteria Defined as: (a) those who have formal legal rights to land (including customary and traditional rights recognized under the laws of the country);	The Land Act 2012 provides that written and unwritten official or customary land rights are recognized as valid land right. The Law provides that people eligible for compensation are those holding land tenure rights.	Kenya's Land Law defines eligibility as both formal (legal) and informal (customary) owners of expropriated land. However, it does not specifically recognize all users of the land to be compensated.	Ensure ALL users (including informal users, laborers, rights of access) of affected lands are included in the census survey or are paid
 (b) those who do not have formal legal rights to land at the time the census begins but have a claim to such land or assets—provided that such claims are recognized under the laws of the country or become recognized through a process identified in the resettlement plan (see Annex 10 A, para. 7(f)); and 19 (c) those who have no recognizable legal right or claim to the land they are occupying to determine eligibility: Carry out resettlement census. 	Land Act also recognizes those who have interest or some claim in the land such pastoralist or who use the land for their livelihood. The constitution recognizes 'occupants of land even if they do not have titles' and payment made in good faith to those occupants of land. However, this does not include those who illegally acquired land Land Act 2012 provides for census through NLC inspection and valuation process.	The constitution of Kenya on the other hand recognizes 'occupants of land' who do not have title and who the state has an obligation to pay in good faith when compulsory acquisition is made. Same as World Bank	Implement cut-off procedures as outlined in the RPF and Kenyan Law
Cutoff date for eligibility is the day when the census begins. Measures : Preference should be	Previously, the National Land	OP4.12 requires that displacement	Ensure ALL users
given to land-based resettlement strategies for displaced persons whose livelihoods are land-based. Cash based compensation should only be made where (a) land taken for the project is a small fraction of	Commission (NLC) was required to compensate a landowner prior to taking possession of the land. However, the Land Value (Amendment) 2019, Act now allows the NLC to take possession of the land and pay compensation at a later date	must not occur before all necessary measures for resettlement are in place, i.e., measures over and above simple compensation.	(including informal users, laborers, rights of access) of affected lands are included in the census survey or are paid
the affected asset and the residual is economically viable; (b) active	within a reasonable amount of time (not later than one year).		(b) If the impacts include physical relocation include

OP 4.12	Kenyan Legislation	Comparison	Recommendation to Address Gap
markets for lost assets exist and there is sufficient supply of land and housing; or (c) livelihoods are not land-based. World Bank OP4.12 Article 6(a) requires that displaced persons are provided with prompt and effective compensation at full replacement cost for losses of assets attributable directly to the project. If physical relocation is an impact, displaced persons must be provided with assistance during relocation and residential housing, housing sites and/or agricultural sites to at least equivalent standards as the previous site. Replacement cost does not take depreciation into account. In terms of valuing assets, if the residual of the asset being taken is not economically viable, compensation and assistance must be provided as if the entire asset had been taken. Compensation and other assistance required for relocation should be determined prior to displacement, and preparation and provision of resettlement sites with adequate	It may be argued that the provision which provides for compensation to be paid after possession is taken is unfair and unreasonable, particularly where the property was being used for residential purposes by its owner. Similarly, the provision for compensation to be paid within one year is arguably unconstitutional given that the Constitution expressly provides for prompt payment of compensation. One year may be regarded too long a period to be considered prompt. The Act provides that valuation of land for purposes of compensation shall be based on the Land Value Index. This is an analytical representation showing the spatial distribution of land values in a given geographical area at a specific time. It is to be developed jointly by the national government and county government. In calculating the Land Value Index, the declared value of the land for purposes of payment of rates, rents or stamp duty shall be taken into account in addition to other factors provided in the Act such as the increase in the value of the land due to improvements made on it. However, an increase in value will be disregarded if the improvements are carried out after the	of land of equivalent value and comparable geographical location and land use to the land compulsorily acquired;	Address Gapmeasures to ensure that the displaced persons are(i) provided assistance (such as moving allowances) during relocation; and(ii) provided with residential housing, or housing sites, or, as required, agricultural sites for which a combination of productive potential, locational advantages, and other factors is at least equivalent to the advantages of the old site.13 (c) Ensure that displaced persons are(i) offered support after displacement, for a transition period, based on a reasonable estimate of the time likely to be needed to restore their livelihood and standards of living; and (ii) provided with development assistance in addition to compensation measures (iii) such as land preparation, credit facilities, training, or job opportunities.

OP 4.12	Kenyan Legislation	Comparison	Recommendation to Address Gap
	out the government's intention to acquire the land compulsorily. Different criteria apply for freehold and community land on one hand and leasehold land on the other.		full replacement cost for the losses of the assets.
	Additional forms of compensation Apart from monetary compensation, the following new forms of compensation have been introduced under the Act:		Ensure that ALL resettlement options are agreed on with PAPs and put in place BEFORE displacement of affected persons.
	 Allocation of an alternative parcel of land of equivalent value and comparable geographical location and land use to the land compulsorily acquired; Issuance of government bond; Grant or transfer of development rights as may be prescribed; 		
	• Equity shares in a government- owned entity; and		
	• Any other lawful compensation. An owner whose land has been compulsorily acquired shall elect the form of compensation. However, compensation may come much later so the choice of compensation would need to be carefully considered.		

OP 4.12	Kenyan Legislation	Comparison	Recommendation to Address Gap
Valuation: With regard to land	The Land Value (Amendment) Act 2019	Previously, the National Land	Apply the World Bank
and structures, "replacement cost"	provides that valuation of land for	Commission (NLC) was required to	OP4.12 valuation
is defined as follows:	purposes of compensation shall be based	compensate a landowner prior to	measures, as outlined in
	on the Land Value Index. This is an	taking possession of the land.	Section 6, in order to fully
	analytical representation showing the	However, the Act now allows the NLC	value all affected assets in
For agricultural land, it is the pre-	spatial distribution of land values in a	to take possession of the land and pay	a consistent manner.
project or pre-displacement,	given geographical area at a specific time.	compensation at a later date within a	
whichever is higher, market value		reasonable amount of time (not later	Apply World Bank OP4.12
of land of equal productive	It is to be developed jointly by the	than one year).	on valuation and
potential or use located in the	national government and county		compensation measures.
vicinity of the affected land, plus	government. In calculating the Land	It may be argued that the provision	
the cost of preparing the land to levels similar to those of the	Value Index, the declared value of the land for purposes of payment of rates,	which provides for compensation to be paid after possession is taken is unfair	
affected land, plus the cost of any	rents or stamp duty shall be taken into	and unreasonable, particularly where	
registration and transfer taxes.	account in addition to other factors	the property was being used for	Apply World Bank OP4.12
registration and transfer taxes.	provided in the Act such as the increase	residential purposes by its owner.	on valuation and
For houses and other structures, it	in the value of the land due to	Similarly, the provision for	compensation procedures.
is the market cost of the materials	improvements made on it. However, an	compensation to be paid within one	compensation procedures.
to build a replacement structure	increase in value will be disregarded if the	year is arguably unconstitutional given	
with an area and quality similar to	improvements are carried out after the	that the Constitution expressly	
or better than those of the affected	publication of a gazette notice that sets	provides for prompt payment of	
structure, or to repair a partially	out the government's intention to acquire	compensation.	
affected structure, plus the cost of	the land compulsorily. Different criteria	1	
transporting building materials to	apply for freehold and community land	One year may be regarded too long a	
the construction site, plus the cost	on one hand and leasehold land on the	period to be considered prompt.	
of any labor and contractors' fees,	other.		
plus the cost of any registration			
and transfer taxes.			
Compensation and livelihood	Compensation for loss of assets at full		Compensation will be
restoration and recognition of			calculated at replacement cost.
customary land rights	help the displaced improve or restore		
	their standards of living or livelihoods.		The entitlements will provide
			alternative agricultural land or
	Affected persons with legal rights or		income-earning opportunities to
	claims to land to be provided with		compensate for loss of land.

OP 4.12	Kenyan Legislation	Comparison	Recommendation to Address Gap
Develop and Disclose an LRP and/or RAP	replacement property of equal or greater value or cash compensation at full replacement cost. Where livelihoods are land-based or where land is collectively owned, the client will, where feasible, offer the displaced land-based compensation. The developer will take possession of the land only after compensation has been made available. The client will also provide opportunities to displaced communities and persons to derive appropriate development benefits from the project. Prepare a Livelihood Restoration Plan (LRP) that mitigates the negative impacts of displacement, identifies development opportunities, and establishes the entitlements of all categories of affected persons with particular attention paid to the needs of the poor and the vulnerable. In the case of physical displacement, a Resettlement Action Plan (RAP) that covers, at a minimum, the applicable requirements of Performance Standard 5, regardless of the number of people affected, will be designed to mitigate the negative impacts of displacement, identify development opportunities,	Kenyan law does not require the development and documentation or consultation of a Livelihood Restoration Plan.	Address Gap CWWDA will not displace land users or commence construction activities until all affected parties have received compensation for lost assets. Apply OP. 4.12

OP 4.12	Kenyan Legislation	Comparison	Recommendation to Address Gap
	develop a resettlement budget and schedule and establish the entitlements of all categories of affected persons (including host communities).		
Vulnerable Groups and transitional support	Vulnerable groups should be identified from the start and offered specially tailored assistance throughout the resettlement process. Transitional support should be provided based on reasonable estimates of the time required to restore income earning capacity, productivity levels and associated livelihoods and standards of living. Additional support should be provided to vulnerable groups as necessary.	No specific requirements for vulnerable groups	Apply Op. 4.12
Monitor Adequate monitoring and evaluation of activities to be undertaken.	According to Land Act can be undertaken County Land Boards.	Both Kenyan Law and World Bank policy advocates for Monitoring and Evaluation	Implement as prescribed in the World Bank OP4.12 and Kenyan Law.
It is necessary to establish procedures to monitor and measure the effectiveness of implementation and use dynamic mechanisms, such as inspections and audits, where relevant, to verify compliance and progress toward the desired outcomes. It is necessary to retain qualified and experienced external experts to verify monitoring information.			

OP 4.12	Kenyan Legislation	Comparison	Recommendation to Address Gap
It is necessary to document monitoring results, implement corrective and preventive actions, and follow up on these actions to ensure their effectiveness.			

3.6.2 Comparative Analysis of World Bank OP 4.12 & Kenya's Requirements Relevant to the Process

Category of PAPs and Type of Lost Assets	Kenyan Law	World Bank OP4.12
Land Users	 Land Value (Amendment) Act 2019 clear on forms of compensation. Apart from monetary compensation, the following new forms of compensation have been introduced under the Act: Allocation of an alternative parcel of land of equivalent value and comparable geographical location and land use to the land compulsorily acquired; Issuance of government bond; Grant or transfer of development rights as may be prescribed; Equity shares in a government-owned entity; and Any other lawful compensation. An owner whose land has been compulsorily acquired shall elect the form of compensation. However, compensation may come much later so the choice of compensation would need to be carefully considered.	Entitled to compensation for land, structures and crops and investments made on the land; livelihood must be restored to at least pre-project levels.
Landowners	The Land Value (Amendment) Act 2019 provides that valuation of land for purposes of compensation shall be based on the Land Value Index. This is an analytical representation showing the spatial distribution of land values in a given geographical area at a specific time.	Compensation based on replacement cost of land. Livelihood must be restored to at least pre-project levels.

Table 3-3: Comparative Analysis of World OP 4.12 and Kenya's requirements Relevant to the Process

	Land Value (Amendment) Act 2019 clear on forms of compensation. Apart from monetary compensation, the following new forms of compensation have been introduced under the Act:	
	 Allocation of an alternative parcel of land of equivalent value and comparable geographical location and land use to the land compulsorily acquired; Issuance of government bond; Grant or transfer of development rights as may be prescribed; Equity shares in a government-owned entity; and Any other lawful compensation. 	
	An owner whose land has been compulsorily acquired shall elect the form of compensation. However, compensation may come much later so the choice of compensation would need to be carefully considered.	
Owners of Temporary Buildings	The constitution of Kenyan respects the right to private property and in case of compulsory acquisition, just compensation must be granted to the owner for the loss temporary buildings. Occupancy in good faith.	Entitled to in-kind compensation or cash compensation at full replacement cost including labor and relocation expenses, prior to displacement. Livelihood must be restored to at least pre-project levels.
Owners of Permanent buildings	The constitution of Kenyan respects the right to private property and in case of compulsory acquisition, just compensation must be granted to the owner for the permanent building. Land Act 2012 requires compensation for structures based on replacement cost.	Entitled to in-kind compensation or cash compensation at full replacement cost including labor and relocation expenses, prior to displacement. Livelihood must be restored to at least pre-project levels.
Trees and Crops	Compensation for the loss of crops and trees based on Ministry of Agriculture guidelines and rates.	Full replacement cost for the loss of crops and trees valued at market price.
Encroachers	Compensation for the loss of structures (and other assets)	Full replacement cost for the loss structures (other assets). Livelihood must be restored to at least preproject levels.

4 SOCIO-ECONOMIC PROFILE OF THE PROJECT AFFECTED HOUSEHOLDS

This chapter provides socio-economic profile of the PAPs affected by the project in the Mombasa County. The PAPs located in Mombasa County are business owners occupying sections of land along the RoW. Kwale county on the other hand has 55 PAPs also enumerated as business owners occupying the RoW while Kilifi County does not have any PAPs affected by the Project. This is due to the fact that the Project traverses a small section of Kilifi County. This section is on government land and is not encroached upon.

Governance and Administration

The transmission pipeline route passes through the following locations (see **table 4-1**) in Mombasa County. The County is governed by a governor who is elected. Counties are divided into Sub Counties, Locations, Sub Locations and finally villages as the lowest level of governance and administration.

County	Sub County	Location	Village
Mombasa	Jomvu	Jomvu Miritini Mikindani Birikani	Jomvu Kuu Miritini Bayusuf Ngamani Owino Ohuru
			Mikindani Madafuni Birikani Bangaladesh Mkupe

Table 4-1: Project Affected Households per location

4.1.1 Demographic Profile

4.1.1.1 Distribution of PAPs by Age and Gender

A 100 % census was carried targeting the PAPs and specifically the household heads. Out of the total households interviewed 87% were male and 13% females. The average size of a household was 3 persons per family (estimated number of adults per person per family are 2.4).

Figure 4-1. Gender of PAPs



Majority of the households aged between 36-45, (38.2%). Those above 55 years of age accounted for 11.5% of the households. The other household members were mainly related to the household head by parenthood.

A C D A D-	0/
Age of PAPs	%
Below 18	1.0%
18-25yrs	2.7%
26-35yrs	32.6%
36-45yrs	38.2%
46-55yrs	12.4%
Above 55yrs	11.5%
Did Not Respond	1.5%
Total	100.0

Table 4-2: Age of household heads





4.1.2 Education Levels of Households

A good percentage of the households have completed primary education 71.5% with 21.5% having completed secondary education and 5.8% continuing with some form of tertiary education. The percentage of households who have completed technical, and university are low (1.2%) compared to other levels of competed education. Most of the households (90.7%) are able to read and write.

4.1.3 Ethnicity, Language and Religion

The main ethnic group in the project area is Mijikenda. The languages widely spoken are Kambe, Ribe and Kiswahili. The population in the project area is predominantly Muslims at 78.2%. Religion in the study area is often associated with place of worship and all surveyed settlements have mosques and churches.

4.1.4 Economy and Employment

4.1.4.1 Employment

The primary sources/sectors of employment in the Study Area follow the national profile, with the predominance of the trade sector and related activities. Majority of the households are subsistence/ small scale traders (99.7%) who engage in different business activities as shown in the **figure 4-3** below. These traders engage in selling vegetables, fruits, beverages, second hand/ used clothes among other wares.





4.1.4.2 Informal Employment

Street vending is an important part of informal employment and of urban livelihoods. Street vendors offer a wide range of goods and services and were mostly found along the Study Area.

4.1.5 Household Income and Livelihood

The majority of the households reported that subsistence trade as part of their livelihood along the existing road reserve in the project area. In addition to trade, PAPs engage in construction, fishing, subsistence agriculture, and production of handcrafts.

Households reported subsistence trade i.e., selling of fruits, vegetables, second hand/ used clothes and other wares as their primary livelihood activity. In the peri-urban areas the settlements similarly reported subsistence trade as their primary livelihood as well as informal agriculture. Household food consumption is usually dependent on a combination of trade. Complementary goods such as salt and oil are bought with money from informal trade and temporary jobs. Agriculture for self-consumption with occasional commercialization of surplus was identified as practiced by the affected households. Among the affected households, agriculture production is the second main source of food for the community with any surplus for commercialization. The main crops identified along the project area are maize, beans, kale, sweet potato, Cassava. There are also permanent crops (mainly fruit trees) including mango, cashew nut, bananas, coconut etc. All crops are for self-consumption and commercialization. The

main seasonal crops identified along the surveyed settlements are cassava, kales, and maize. There are also permanent crops (mainly fruit trees) including mangos, bananas, and coconut trees etc. All crops are for self-consumption (See Figure 4-4) presents photos of some of the plots farmed within the Study Area. The Project routing did not affect





4.1.5.1 Income

From the survey, the average monthly income reported by household heads were Ksh.15,645.00 per month with their spouses earning an average of Ksh. 6,700 - per month. The survey also showed that adult children were earning an average of Ksh. 10,500,000 per month. Main sources of income in the project area being subsistence trade (92%), handicraft (1%) agriculture (6%) and constructions works at (1%) respectively.

Figure 4-5: Other Main Sources of Income



4.1.5.2 Household Expenditure Levels

The **table 4-3** below shows the average monthly expenditure of the affected households with food accounting for the highest expenditure.

Table 4-3: Household Expenditure

Expenditure	Amount (Ksh)
Food	7,559.00
Drinking Water	708.10
Health	2,754.00
Transport	1,621.00
Education	8,837.00

4.1.6 Household Welfare Analysis

4.1.7 Housing

The average size of residential buildings in the project area is $50m^2$, comprising two bedroom and one living room. The kitchen is generally located outside the house with toilet facilities also most located outside. Most houses are made of mud walled and zinc sheets, with fewer being built with brick (red) and block (grey). Zinc sheets and makuti are the main materials used for roofs.

Figure 4-6: Housing Types in the Project Area



4.1.7.1 Household Sources of Water

33.6% of the households receive their drinking water from taps in their houses, 33.2% get water from surface sources e.g., rivers e.g., Mwache river and Jitoni and a similar 33.2% receive water from boreholes and public water taps outside of the houses as shown in **figure 4-7** below. Response from the households indicate that water is generally potable.



The water quality is generally good for potability with 63.5% of the households indicating that the water quality is acceptable. 25.5% of the households find the water to be good while 11% find it to be bad. The water quality differs considering the different sources it is drawn from.

4.1.7.2 Household Energy and Electricity Source

The widely used energy source for cooking is from LPG gas 72.5% followed by firewood at 27.5% as shown in **figure 4-8 below**. **Figure 4-8. Energy Source (cooking)**



The main household sources of lighting were electricity from the national grid at (93.2%). Other sources included lanterns/kerosene and generators as shown below.



4.1.8 Household Vulnerability

In the context of infrastructure development, vulnerable people are often defined as: 'People who by virtue of gender, ethnicity, age, physical or mental disability, economic disadvantage, or social status may be more adversely affected by resettlement [or project impacts] than others and who may be limited in their ability to claim or take advantage of ... assistance and related development benefits'.

Vulnerable groups and individuals refer to people who are often exposed to several risks and adverse impacts at once, are more sensitive to those risks and impacts, and/or have a weaker adaptive capacity for coping with and recovering from impacts, usually due to limited access to certain assets and/or resources.

Vulnerable individuals and groups are therefore more susceptible to negative impacts or have a limited ability to take advantage of positive impacts. Vulnerability is a preexisting status that is independent of the Project and may be reflected by an existing low level of access to key socio-economic or environmental resources or a lack of access to information and decision making. According to the census survey, 57% of PAPs falling in the vulnerable category are widows. 20 % of the vulnerable PAPs are individuals below 18yrs. The chronically ill individuals formed 23% shown below. The RAP has identified a total of 31 PAPs as vulnerable following analysis of response from socio-economic survey tool administered as part of RAP preparation.





Table 4-4: Vulnerable PAPs

County	No. of Widows	No of Chronically Sick	No. of Physically Challenged	No. of Mentally Disabled	No of Individuals below 18yrs	No. of Elderly (over 60 years)	Totals
Mombasa	18	3	4	00	00	6	31

4.1.9 Awareness of the Project

Almost all the affected households were aware about the proposed water transmission pipeline Project, with the public meetings organized by CWWDA and the consultant being the highest source of information about the project.





4.1.9.1 Preference for Compensation and Assistance

The preferred mode of compensation by the affected households (80%) was cash.



5 STAKEHOLDER CONSULTATIONS AND DISCLOSURE

The aims of community and stakeholder consultations were to:

- Introduce project details, objectives, proposed implementation plan and strategies and potential impacts to the community members.
- Identify the communal property and public infrastructure and facilities likely to be affected.
- Identify the vulnerable social groups that may require special support.
- Identify various socially and culturally acceptable resettlement and other mitigation alternatives.
- Identify the PAPs expectations and fears related to the resettlement and compensation.
- Explain to the PAPs the procedure for asset identification and assessment for the PAPs.
- Inform PAPs about the property identification and valuation principles to be followed during assessment, as well as the resettlement compensation options available to them.
- To create awareness and garner up support for the proposed project.
- To provide an opportunity for all the PAPs to raise issues and concerns pertaining to the project, feedback, and allow the identification of alternatives and recommendations.
- Appraise the PAPs about the applicable laws for land acquisition for the public interest projects.

5.1 Consultation Process

A total of three community meetings and fourteen meetings with relevant national and county governments were held as part of the consultations. Meetings were carried out before field census survey and prior to carrying out household interviews.

5.1.1 PAPs and Stakeholder Consulted

Table 5-1 highlights the stakeholder consultations held, dates, venues, and number of participants.

Date	Venue	Participants	Males	Females
25/10/2022	Chief Officer's Office	5	3	2
25/10/2022	County Commissioner's Office	3	2	1
25/10/2022	Kwale Water and Sewerage Company	3	3	0
25/10/2022	NEMA-Kwale	4	2	2
26/10/2022	Deputy County Commissioner's Office- Samburu	5	4	1
Total		20	14	6

Table 5-1: Institutional Consultation-Kwale County

Table 5-2: Institutional Consultation-Mombasa County

Date	Venue	Participant	Males	Females
		S		
25/10/2022	CWWDA Offices	4	4	0
7/11/2022	County Commissioner-Mombasa	3	2	1
8/11/2022	Deputy County Commissioner's Office-Changamwe	3	3	0
	Sub County			

9/11/2022	Deputy County Commissioner's Office-Jomvu Sub County	3	3	0
2/11/2022	MOWASCO Offices	3	3	0
7/11/2022	Chief Officer Public Works-Mombasa	3	3	0
9/11/2022	Regional Director's Office-KeNHA	2	2	0
9/11/2022	Deputy Regional Director's Office	2	2	0
10/11/2022	Kenya Railways-Mombasa Regional Office	2	2	0
Total		25	24	1

Table 5-3: Institutional Consultation-Kilifi County

Date	Venue	Participants	Males	Females
27/10/2022	27/10/2022 Governor Office-Secretary		3	1
	Department Of Water and Agriculture	3	3	0
	NEMA Office	3	3	0
	KIMAWASCO	3	3	0
	County Commissioner's Office	3	3	0
28/10/2022	Rabai Deputy County Commissioner	3	3	0
	Mwawesa Deputy County Commissioner	3	3	0
	Department of Lands	3	3	0
	Department of Public Works	3	3	0
Total		28	27	1

Table 5-4: Community Consultation-Kwale County

Date	Venue	Participants	Males	Females
3/11/2022	Mwamdudu-Bonje	96	56	40
Total		96	56	40

Table 5-5: Community Consultation Mombasa County

Date	Venue	Participants	Males	Females
14/11/2022	Jomvu-Miritini	116	60	56
15/11/2022	Mikindani-Birikani	102	77	25
Total		218	137	81

5.1.2 Community and Stakeholders Views and Concerns

The summary views of the community and institutional stakeholders consulted are presented in the tables below. Annex B contains the minutes of the meetings.

Table 5-6: Summary of Consultations with Stakeholders in Jomvu-Miritini			
Questions/Comments	Responses		
How will valuation be done?	Valuation of assets will be done using the full replacement cost approach.		
Will the project purchase our land where the proposed water transmission pipeline will traverse?	Land on the ROW is owned by GOK and will not be purchased instead PAPs with assets on the ROW will be compensated for loss of assets only. Land owned privately, will be purchased by the project.		
Is there a grievance redress mechanism system in place and will it be effective?	A grievance redress mechanism will be established and is expected to handle all the grievances.		
Apart from compensation for loss of property, are there any other measures the project will take to mitigate this involuntary displacement?	The project will not only compensate PAPs at replacement cost for improvements and on easement, but it will also give the PAPs opportunity to salvage their property at no cost as well add a disturbance allowance of 15% to the compensation package. PAPs with requests for assistance during relocation will be catered for as well.		

Table 5-6: Summary of Consultations with Stakeholders in Jomvu-Miritini

	Finally, to minimize displacement impacts, the route selected is within the ROW.
Will enumeration be done to only landowners or it will include structures owners as well?	All assets on the ROW of way will be enumerated including land, structures, crops, trees, graves etc.
What about the graves, will they be compensated?	All assets on the ROW of way will be enumerated including land, structures, crops, trees, graves etc.
We have some vulnerable people among us who may be adversely affected by the project. What measures will the project take to alleviate this?	The RAP team will note all vulnerable PAPs and the form of vulnerability during the census and include this data in the RAP with a recommendation to the project to ensure these vulnerable PAPs receive special and prompt attention during compensation and implementation.
What will be the form of compensation for lost assets? We would prefer to be compensated in cash and before we vacate the site.	Compensation is normally in cash and in-kind. Your preference to be compensated in cash is noted and will be adopted as the form of compensation subject to decision by NLC.

Table 5-7: Summary of Consultations with Stakeholders in Mikindani-Birikani

Questions/Comments	Responses
Some of our land is not	The RAP team will take details of all landowners
adjudicated/registered. How will	without prejudice. The owners who have not yet
compensation be carried out without titles.	obtained titles are advised to process them such that
	by the time NLC is ready to compensate, the titles will
	be available and thus eliminate delays in
	compensation.
Will CWWDA be able to undertake some	The project implementer will explore feasible ways to
CSR within this project area?	assist the community through CSR.

Table 5-8: Summary of Consultations with Stakeholders in Mwamdudu, Kwale County

Questions/Comments	Responses
How will I know that my property is affected?	Surveyors have already mapped out the proposed pipeline project. The RAP team with the use of GPS equipment will walk along the line and identify those affected.
What are the things that will be affected within the RoW as mentioned by this team?	Surveyors have already mapped out the proposed pipeline. The RAP team with the use of modern mapping equipment will walk along the line and identify those affected and what exactly has been affected.
What are the documents I need to have?	The documents needed are a title deed of the parcel of land that will be affected, National ID, KRA PIN, bank account, and phone number. It is advisable that you start obtaining these documents especially title deeds (if none) KRA PIN, national ID, and bank account in case you do not have them since this will facilitate smooth engagement and compensation by NLC.
Are there maps within these areas and how can we know if we have been affected and the list of people who will be affected?	There are maps which show areas that will be affected. We cannot give out the exact numbers since we do not know the people who will be affected and what exactly will be affected.
How will I know the day you will be coming because we also have other duties?	This will be communicated via local administrative leaders through the chief and the village elders.
Will PAPs owning structures along the RoW be allowed to re-build on the RoW?	It is not advisable to re-build on the RoW when construction is complete. The pipeline will require regular routine maintenance and emergency works.

	Re-building on the RoW will hinder these actions and lead to recurrence of disruption of business. It is therefore advised that re-building be done away from the RoW.
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5.1.3 Public Disclosure

The RAP report will also be disclosed on the website of CWWDA, World Bank, and AFD's external affairs website. Hard copies of the RAP report will be availed at the County headquarters, Sub County headquarters, location chief's office and each GRM Committee will also have a copy of the RAP report.

6 DISPLACEMENT IMPACTS AND MITIGATION MEASURES

6.1 Zones of Potential Impact of Project Activities

The proposed pipeline will start from the new Bonje reservoir (west mainland reservoir) and terminate at the Changamwe reservoirs (island allocation) with a parallel line ending at West Mainland. The proposed pipeline is expected to follow existing wayleaves/road reserves including the new Nairobi Road up to the terminal reservoirs.

The Project will lead to physical and economic displacements of 273 PAPs who are currently utilizing the RoW to conduct subsistence trade and 1 land owner. It is noted that the PAPs using the RoW for business do not have legal title or claim to the land. To this effect, the PAPs are not entitled to compensation for loss of land. Due to the nature of the Project, the displacement of subsistence traders having temporary movable structures along the wayleave the impact will be temporary. However, PAPs having permanent structures along the RoW, have been advised to relocate their structures away from the Project route. This will enable efficient and unimpeded access to the transmission line during maintenance and emergency works. The impacts, mainly economic displacement will be felt in the following locations as shown in **table 6-1** below.

County	Sub County	Location	Village
Kwale	Samburu	Mwamdudu	Bonje
Mombasa	Jomvu	Jomvu Miritini Mikindani Birikani	Jomvu Kuu Miritini Bayusuf Ngamani Owino Ohuru Mikindani Madafuni Birikani Bangaladesh Mkupe

Table 6-1: Project Affected Locations

6.2 PAP Category

6.2.1 Structure Business Owner

A total of 273 PAPs from (Mombasa County) will be affected in this category. Business owners with structures- (those owning their own structures whether permanent, on ROW, and conducting businesses in the premises). They are further categorized as encroachers. This categorization is informed by the fact that these PAPs have no legal ownership of the land considering that RoW is public land owned by the GoK.

Mitigation Measures

- Cash compensation for loss of structures at full replacement cost.
- Cash compensation for temporary loss of income/business during the construction phase
- Right to salvage

6.2.2 Mobile Business Owner

These are PAPs (Mombasa County) with movable structures and conducting business on the ROW. A total of 11 PAPs will be affected in this category.

Mitigation Measures

- Cash compensation for temporary loss of income/business during the construction phase.
- Materials from the affected structure may be salvaged at the owner's expense within the notice period to vacate defined by the project schedule and prior to demolition.
- Allowance for transport costs to new place of abode for all movable assets
- Disturbance allowance

6.2.3 Impact on Cultural Resources

There will be no impact on cultural resources. Pipeline routes are not located in areas with any known cultural resources. A Chance Find Procedure has been prepared as part of ESIA for this project to mitigate impacts associated with chance find of cultural resources during construction.

6.2.4 Impact on Public and Community Infrastructure

The project will not lead to the demolishing of any public or community assets and infrastructure. However, the proposed construction activities may lead to disruption of public utilities including water, sewer, electricity, fibre optic if accidentally interfered with. Traffic impacts may also be experienced as a result of construction activities which will occur close to the roads. The procured Project contractors will be required to collaborate with utility companies of any affected utility in order to limit any disruption of services during construction process. An ESIA report has been prepared to mitigate these impacts

6.3 Impacts on Vulnerable Groups

Vulnerable people, as defined by the World Bank guidelines are people who by virtue of gender, ethnicity, age, physical or mental disability, economic disadvantage, or social status may be more adversely affected by resettlement than others and who may be limited in their ability to claim or take advantage of resettlement assistance and related development benefits. The census and socio-economic survey data revealed that the project will have an impact on 31 PAPs who meet the above criteria for vulnerability. Table 6-2 below depicts the nature of vulnerability. The criteria for vulnerability was assessed through observation during the census and from feedback received via analysis of responses on socio-economic survey tool utilized during development of the RAP. It took note of various factors including income streams. The livelihood of widows as captured in the socio-economic survey indicated income below poverty levels. This applied to those noted as having chronic illnesses. For PAPs captured as under 18 years of age, it is clarified that these PAPs were undertaking business activities on behalf of their parents at the time of census and inventory of assets. The parents will be present during the compensation process. A copy of the socio-economic survey tool is attached as Annex I.

Type of Vulnerability	Number of PAPs
Widow	18
Chronically Sick	03
Elderly (over 60 years)	06
Physically Challenged	04
Individuals Under 18 years of age	00
Total	31

Table 6-2. Vulnerable PAPs

Mitigation Measures

- Assistance in the compensation payment procedure. There may be PAPs who may have challenges in acquiring or availing documents required by NLC for compensation processing. These documents include national identification card, Kenya Revenue Authority PIN, and bank account.
- Assistance in the post payment period to secure the compensation money
- Assistance in moving: providing vehicle, driver and facilitation at the moving stage, providing ambulance services for disabled or inform persons during moving, and;
- Health care if required at critical periods
- Priority in processing disbursement of compensation packages
- Moving and transition support or allowance during the relocation period.
- Contractor to consider employing able PAPs during construction phase of the project

6.4 Summary of Displacement Impacts

The project will adversely affect **273** households (PAPs) disaggregated as shown in **table 6-3** below. Annex A contains the register of PAPs.

Table 6-3: Summary of Displacement Impacts

Type of loss	No. of PAPs	Counties
Loss of fixed business structures on ROW	211	Mombasa
Loss of fixed business structures on ROW	49	Kwale
Denial/restricted access to businesses (mobile traders)	8	Mombasa
Denial/ restricted access to businesses (mobile traders)	5	Kwale
Total Number of PAPs	273	

Table 6-4: Displacement Impact by County

County	Location	Business Structures	Mobile Traders	Total
Kwale	Mwamdudu	49	5	54
	Mikindani	32	0	32
	Jomvu	66	6	72
	Miritini	90	2	92
Mombasa	Birikani	23	0	23
	-	-	-	273

6.5 Alternatives and Mechanisms to Minimise Resettlement/Displacement and Restricted Access

A number of alternatives and mechanisms have been considered to avoid or minimise resettlement/displacement and restricted access to socio-economic services during the design and implementation of project activities. This is also in consideration of the concerns of community members and institutional stakeholders consulted.

- Use of manual excavation: The contracting firm will as much as possible use manual excavation in built-up areas. This will enable the project to minimise the extent of structural damage associated with machine excavation in such heavily built-up areas.
- Selection of non-residential sites. As much as possible, besides engineering design prerequisites, site selection has been guided by the desire to minimise the displacement of human settlements/residences.
- Social services access points such as schools, markets and health facilities along the project corridor will remain open or alternative entry points will be provided. One strategy is to work on the entry points of such service institutions during weekends or during breaks when the access points are not fully engaged.

7 ELIGIBILITY AND ENTITLEMENTS

This chapter provides an overview of the criteria for eligibility for compensation and livelihood restoration support for the different PAPs taking into account Kenyan law and World Bank's OP. 4.12. Where there is a deviation between the two, the more stringent eligibility criteria will apply. During stakeholder consultation with PAPs as part of RAP preparation, the PAPs gave their feedback that cash was their preferred form of compensation.

7.1 Eligibility Criteria

7.1.1 Kenyan Eligibility Criteria

As discussed in Section 3.2.3, national legislation defines two primary forms of land rights applicable to the Project: (i) legal/formal property rights; and (ii) customary rights. All PAPs losing land and structures are also eligible for the provision of replacement housing and land or alternatively for compensation in cash. In addition to customary right holders and formal/legal property right holders, individuals or households residing on and/or cultivating land for which they do not hold any customary rights or legal property rights, are not formally recognised as right holders under Kenyan law and will be compensated in cash for the loss of assets and not land.

7.1.2 World Bank OP. 4.12

The OP. 4.12 eligibility criteria broadly align with those defined by the national legislation in the sense that both legal and customary right holders and individuals or households who do not have any formal rights are eligible for some form of compensation. However, within the OP. 4.12, losses to improvements (including crops, trees, and structures) needs to be compensated for at full replacement cost.

7.1.3 Cut-off Date

The purpose of the cut-off date is to avoid speculative claims within the Project Area by persons seeking compensation. People moving into the Project Area after the cutoff date are not entitled to assistance. Improvements made to homes or other structures by existing residents after the cut-off date are also not eligible for compensation.⁴ According to Kenyan law⁵, a cut-off date for eligibility is established after the declaration of the expropriation for public utility, i.e., after the expropriation approval has been made public and affected parties informed. After this date any circumstance initiated by the affected person is not taken into consideration and therefore not eligible for compensation. To align with OP. 4.12 requirements, the Project will establish the cut-off date for eligibility⁶ as the last day of the socio-economic surveys in the context of RAP implementation. If there is a significant time lag between the cut-off date and actual implementation (i.e., more than one year), households may request for a revised asset inventory to take into account any improvements made to the land. The compensation package prepared for this RAP as reflected in the inventory and asset register will be adjusted to reflect changes in market value and inflation should the Project implementation delay. If the delay between the census and the RAP implementation is such that it's more than three (3) years a repeat census and inventory

⁴ If there is a significant time lag between the completion of the socio-economic census and implementation of the RAP, provisions will need to be made for population movements as well as natural population increase and expansion of households, which may include a repeat census.

⁵ Land Act 2012

⁶ The Project will accommodate individuals or groups who were not present at the time of registration, but have a legitimate claim to membership in the affected communities

and asset valuation shall be undertaken as guided by the ESF- Guiding Note5 and this report updated accordingly.

The 'cut-off date' for this RAP has been taken as 2nd December 2022 and was communicated to the affected individuals during the field activities and consultations. The cut-off date is the respective date of completion of census survey in the affected project area.

7.1.4 Eligible Groups

Drawing from the socio-economic studies that were undertaken as part of the RAP preparation, there are 3 categories (table 7-1 below) of PAPs who will be exposed to losses as a consequence of the Project's land acquisition process, and thus will be eligible for compensation and/or other resettlement assistance. It is important to note that whereas it may be an individual asset owner that incurs a loss, Bank's OP. 4.12 requires that the standard of living and livelihood of the overall household is considered centrally in designing compensation and livelihood restoration measures.

Primary Category	Description
Business Structure Owners	Members of this group rightfully own fixed/permanent business structures on ROW which will be temporarily relocated as a result of the project's sphere of influence.
Business Non-Structure Owners	Members of these category own movable structures in open spaces where they do their businesses.

7.1.5 Disturbance Allowance

Property and livelihood income restoration will also include a livelihood/disturbance allowance that will be paid to all categories of PAPs at the rate of 15 per cent of the value of compensation and a three months' notice. This has been fully incorporated in the RAP and complies with both the national legislation for resettlement compensation and the World Bank requirements for PAP assistance for income and livelihoods restoration.

7.2 Entitlement Matrix

Table 7-2 presents the entitlement matrix, which will be used as a basis for compensation and other entitlements that will be provided to PAPs.

Impact	Description of affected property	Entitled person	Compensation measure
Loss of Permanent (fixed) business structures on ROW	Business Structures	Structure Owner (encroacher)	Cash compensation (replacement cost) for loss of structures. Right to salvage assets and materials
			Financial training for the sustainable and prudent use of cash compensation.
	Business/Income		Cash compensationfor loss ofincome during theduration ofconstructionincludingdisturbanceallowance

 Table 7-2: Entitlement Matrix

			(Approximately 3 days) before re- establishing back on ROW.					
Loss of Temporary (Mobile/Movable) Business Structures on ROW.	Business/income	Business Owner (encroacher)	Cash compensation for temporary loss of income during construction period.					
			Assistance to move mobile structures.					
			Cash compensation for loss o income during the duration o construction including disturbance allowance (Approximately 3 days) before re establishing back on the ROW.					
			Financial training for the sustainable and prudent use o cash compensation.					
Vulnerable Persons - Loss of Temporary (Mobile/Movable) Business Structures	Business/ income	Vulnerable Business Owner (encroacher)	Cash compensation for temporary loss of income during construction period.					
on ROW			Assistance to move mobile structures.					
			Cash compensation for loss of income during the duration of construction includin disturbance allowanc (Approximately 3 days) before re- establishing back on the ROW.					
			Financial training for the sustainable and prudent use of cash compensation. Assistance in the compensation payment procedure. There may be PAPs who may have challenges in acquiring or availing document required by NLC for compensation processing. These documents include ID cards, KRA PIN, and bank account					
			Assistance in the post paymen period to secure the compensation money Assistance in moving: providing vehicle, driver and facilitation a the moving stage, providing ambulance services for disabled of inform persons during moving and;					
			Health care if required at critica periods					

Priority in processing disbursement of compensation packages
Moving and transition support or allowance during the relocation period.
Contractor to consider employing able PAPs during construction phase of the project

7.3 Strategy for Property and Livelihoods Income Restoration

The resettlement measures or strategies have been developed in close consultation with community members, the PAPs, institutional stakeholders and in reference to the national legal regimes, the World Bank OP. 4.12. Two main strategies have been identified and are discussed below. All PAPs are aware of these options, including those who requested further consultations with other household and family members.

7.3.1 Cash compensation

This is the main strategy for property and income restoration because of the fact that all the PAPs apart from a single landowner are categorized as encroachers, with no legal claim or title to the land (conducting business on the ROW) and hence not entitled to compensation for loss of land. This strategy will be through adequate and prompt monetary compensation and will apply to all the PAPs. All the PAPs expressed the preference for cash compensation as opposed to in-kind compensation.

7.3.2 Livelihood Restoration

After compensation, it is anticipated that PAPs having movable business structures will re-establish themselves back to the RoW and continue with the economic activities they were performing before the project while those with permanent or semi-permanent structures will relocate their structures away from the RoW. Accordingly, compensation must be paid upfront before project activities begin at the respective project-affected sites/areas, as provided for in the Land Act (2012), to allow PAPs to plan for the restoration of their livelihood enterprises and other necessary adjustments.

8 GRIEVANCES MANAGEMENT SYSTEM

8.1 Grievance Procedure and Rationale

The objective of the grievance handling systems and procedure is to establish for the PAPs mechanisms for raising complaints related to compensation for loss of assets and livelihoods and having such complaints resolved as amicably as possible through acceptable and binding corrective actions. This grievance management system will be in place for the entire construction period until all PAPs are resettled and RAP audit completed.

The grievance management system will be further refined by stakeholders as necessary. During the census, socio-economic survey and inventory of assets there were no grievances recorded from any PAP or project interested person.

8.1.1 Grievance Redress Management Committees

The GRMC are partners on the local level for RAP implementation, and will especially provide support in the following ways:

- Compensation process
- Involvement into the grievance mechanism
- Involvement into monitoring procedures, especially in monitoring of progress of livelihood restoration measures and of compensation disbursement
- Maintaining a grievance log.

8.1.1.1 First Level: Site Level Grievance Redress Management Committees

This RAP prefers the first level of grievance or conflict redress on RAP related issues as a result of this project to be handled by the already existing or established GRMC in the project site and handle all forms of grievances in an amicable manner and as an alternative dispute resolution to formal process, which is normally lengthy and costly. Grievances not resolved by the site level committees will be taken to the second level. There will be a site GRMC, and the membership will include:

- The sub locational chief,
- Assistant chief,
- One project affected youth
- One project affected woman
- One project affected male
- A representative of vulnerable PAPs,
- CWWDA representative
- Contractor and Supervising Engineer representative

8.1.1.2 Second Level: Sub County Mediation Committee

There will be a mediation committee at each Sub County level to handle grievances that cannot be resolved by the site level committees and membership will include:

- One representative of the Administration; National Government
- One representative of County Administration; County Government
- One representative of the CWWDA acting as an observer
- One representative of the construction contractor, acting as an observer
- Three representatives of the affected people, amongst them at least one woman, chosen i.e., from community-based organizations, elders, traders.

8.1.1.3 Third Level: Resort to Justice

In case this mechanism will not allow an amicable agreement to be reached, the complainant or the defendant can resort to justice (and could at any time even without going through the established committees).

8.1.2 National Land Commission

The NLC has a grievance redress process mainly triggered at the time of issuance of award of compensation following public hearings. If a PAP is dissatisfied with the awards given by NLC, they have a right to appeal and NLC would look into the matter and determine justification. In the event that a PAP is not satisfied with the appeal made to NLC and the resolution thereof, then dispute is resolved by resorting to the legal justice system where PAP could go to the environment and land court. Whereas, the NLC is an independent commission with the mandate to acquire land, it is expected that the commission will work closely with the CIT and PAPs constituted GRM to ensure the any grievances or disputes are tended to at the first level and that all PAPs are compensated as laid out in the Entitlement Matrix. Finally, there will be the option for each complainant to resort to court (third level) in case there is no solution within the Project's grievance mechanism.

8.2 Grievance Mechanism Steps and Procedures

8.2.1 Step I: Receipt of Grievance

A verbal or written complaint from a PAP will be received by the Social Specialist or member of GRMC at the respective level and recorded in a grievance log. The grievance log will indicate grievances, date opened/lodged, actions taken to address or reasons why the grievance was not acted upon (e.g., the grievance was not related to the resettlement process), information provided to complainant and date on which the grievance was closed. Grievances can be lodged at any time, either directly to the Social Specialist based at the CWWDA's, contractor's site office or the sub-county offices or to the GRMC using grievance registration form (Annex F). Grievances or complaints can also be lodged anonymously both in written form or verbally e.g., through telephone call. Any grievance received in this manner will be recorded in the grievance log albeit without a name of the complainant and will follow the approved channel for determination and resolution.

8.2.2 Step 2: Determination of Corrective Action

GRMC will be established to assess grievances that arise from disputes. The committee once in receipt of a grievance or complaint, will either investigate the grievance as a full committee or constitute an ad hoc committee to investigate and report on the results of the outcome of investigation. Decisions will be reached by simple majority. Once the GRMC has determined its approach to the lodged grievance, this will be communicated to the Social Specialist, who will communicate this to the complainant. If satisfied, the complainant signs to acknowledge that the issue has been resolved satisfactorily. If the complainant is not satisfied, however, the complainant notes the outstanding issues, which may be re-lodged with a higher level GRMC, or the complainant may proceed with judicial proceedings. Grievances will be resolved, and the status reported back to complainants within 10 days. If more time is required, this will be clearly communicated and in advance to the aggrieved person.

8.2.3 Step 3: Meeting with the Complainant

The proposed corrective action and the time frame in which it is to be implemented will be discussed with the complainant within 30 days of receipt of the grievance. Written agreement to proceed with the corrective action will be sought from the complainant (e.g., by use of an appropriate consent form). If no agreement is reached, Step 2 will be revisited. Agreed corrective actions will be undertaken by CWWDA within the agreed time frame. The date of the completed action will be recorded in the grievance database.

8.2.4 Step 4: Verification of Corrective Action

To verify satisfaction, the aggrieved person will be approached by the GRMC to verify that the corrective action has been implemented. A signature of the complainant will be obtained and recorded in the log and/or on the consent form. If the complainant is not satisfied with the outcome of the corrective action, additional steps may be undertaken to reach agreement between the parties. If additional corrective action is not possible alternative avenues may be pursued.

8.2.5 Step 5: Alternative Action/Judicial Recourse

In case this mechanism will not allow an amicable agreement to be reached, the complainant or the defendant can resort to Justice (and could at any time).

8.3 Appointment of GRMC Members

The members of the GRMCs will be appointed through an election process with all the affected PAPs in the project area participating.

8.3.1 GRMC Capacity-Building and Remuneration

The GRMCs will also need to be oriented to the grievance management system suggested in the RAP. The capacities of the GRMCs will also need to be built around issues of conflict identification, conflict information analysis and conflict resolution as provided for in the land legislation. All the members of the GRMCs established at the different levels will perform their duties on a voluntary basis. There will be no remuneration other than costs associated with transport, communication, meals and sitting allowance.

The project has not received any grievances or complaints to date.



Figure 8-1: Grievance/Dispute Management Mechanism

9 RESETTLEMENT

PLANNING

AND

9.1 RAP Implementation Arrangements

9.1.1 RAP Implementation Unit

All PAPs will be compensated before their structures are demolished, implying that compensation will be paid before project works start at a specific site/in a specific area as per the contractor's work schedule. CWWDA has established a Contract Implementation Team (CIT) specifically for this project and will implement this RAP. The day-to-day role of the CIT will be to:

- a) Plan and coordinate prompt cash compensation payments.
- b) Plan and coordinate non-cash compensation such as special assistance to vulnerable groups.
- c) Ensure that the compensation process and entitlements adhere to legal provisions.
- d) Establish, manage, and update the RAP implementation database.
- e) Contribute to the regular monitoring and evaluation of the RAP implementation.
- f) Sensitise the PAPs with regard to the RAP implementation progress.
- g) Validation of PAPs prior to compensation
- h) Coordinate construction schedule of contractors and alert PAPs on when they would be needed to relocate.
- i) Plan, facilitate and coordinate GRMC trainings and subsequent meetings.

The CIT staffing includes a social specialist, Environmentalist, surveyor and engineer who will be dedicated to this project and who will be the focal points and coordinators with respect to the RAP implementation. The supervising engineer and the contractor will also each have full time social specialists who will work jointly with the CIT and other stakeholders in the implementation of the RAP.

9.1.2 National Land Commission

Implementing the RAP by updating the asset inventory and determining the compensation amount. Coordinating with CWWDA to disclose the compensation amounts to PAPs.

9.1.3 Ministry of Interior and National Administration

DCC will chair the GRM mediation committee, the chiefs and assistant chiefs will help in the identification of PAPs, convening of the disclosure meetings and supporting in the disclosure exercise.

9.1.4 County Governments of Kwale and Mombasa

The County Executive Committee Members and staff from Kwale, Mombasa counties shall contribute with their expertise, their capacity and competence to the implementation of the RAP; corresponding to the different technical areas they represent (i.e., roads and infrastructure, trade, social assistance, youth, and women).

9.1.5 RAP Implementation Schedule

The implementation schedule of this RAP spans from the RAP study to the completion of the project. However, this is an indicative proposal, which should be synchronized with the contractor's work program. The phased approach aims to prevent reencroachment by PAPs after receiving compensation. To ensure a smooth transition, immediate follow-up must occur after compensation is disbursed, followed by RoW clearance. The contractor must then swiftly begin work to minimize the potential for the re-establishment of structures. The CWWDA's RAP implementation team, NLC and PAPs, will develop the schedule for the implementation of activities. The implementation schedule will include:

- Target dates for the start and completion of compensation payments.
- Target dates for fulfilling the prerequisites for compensation payments and other legal requirements by PAPs.
- The timetable for special assistance to vulnerable groups.
- Dates for vacant possession of the acquired land from the PAPs (this date must be after the payment of all compensation).
- The link between the RAP activities to the implementation of the overall subproject components.

		Months											
Activity	Responsible Entity	1		23	4	15	6	7	8	9	10	11	12
Planning Phase													
RAP Study	CWWDA				Γ	Γ							
Disclosure of				Т	Γ	Γ							
RAP Report	CWWDA/WB/EIB												
Setting Up Phase													
Constituting the													
RAP	County												
Implementation	governments of												
Team (RIT)	Kwale, Kilifi and Mombasa												
	Mombasa				┢								
Constituting													
and Briefing	СІТ												
PAP Committee													
Validation and				+	┢				\square				
Verification of	CIT												
PAPs	CII												
Disclosure of	CWWDA			╈	T	Г							
Updated PAP list													
Compensation				+	┢	┢			Η				
of all PAPs	CIT/ NLC												
Issuance of 3				╈	T	Г							
months'													
vacation	CIT/ NLC												
notice to													
PAPs													
Construction Phase													
Commencement	CWWDA,												
of	Contractor												
construction													
works				+	╞	+							
Site handover	CWWDA, Contractor												
Site clearance	Contractor			+	┢	\square	-		Η				
Excavation and				+	┢				Η				
Excavation and trunkline/lateral													
installation	Contractor												
mstanation			<u> </u>		_			I					

Table 9-1: Tentative RAP Implementation Schedule

Grievance Management	CIT, PAP GRM committees						
Restoration activities of livelihood	CIT/Social safeguard Specialist						
Monitoring and Evaluation	СІТ						
Monitoring and Evaluation of RAP (Monthly Reports)	Social Safeguard Specialist						
RAP completion Audit	External RAP Auditor/Consultant						

9.1.6 RAP Budget

Table 9-1: Assets Compensation Cost

Aspect	Value (Kshs)	
Structures	2,281,554.00	
Business	12,780,000.00	
Disturbance Allowance (15%)	2,259,233.10	
Grand Total	17,320,787.10	

Table 9-2: RAP Implementation Costs

Aspect	Value (Ksh)
Financial/Money Management Training/ Meetings of Resettlement	866,039.36
Committees/Administrative Costs (10%)	
External Monitoring and External Coordination for RAP Implementation	866,039.36
(5%).	
Contingency (1%)	173,207.87
Grand Total	1,905,286.59

Table 9-3: Total RAP Budget

Total RAP Cost	Ksh
Structures	2,281,554.00
Business	12,780,000.00
Sub Total	15,061,554.00
Disturbance Allowance	2,259,233.10
RAP Implementation	1,905,286.59
Grand Total	19,226,073.69

The total budget for resettlement compensation is **Kshs. 19,226,073.69** including a 15 per cent disturbance allowance. In addition, a 10 per cent administration cost for the RAP implementation, monitoring and evaluation has been considered as well as a 1% cost for contingency.
10 MONITORING AND EVALUATION

10.1 General Objectives of M&E The general objective of the M&E system is to provide a b

The general objective of the M&E system is to provide a basis for assessing the overall success and effectiveness of the implementation of the resettlement and compensation processes and measures.

10.2 Monitoring the Compensation Process 10.2.1 Internal Monitoring Issues

Internal monitoring will be initiated during the implementation phase of the RAP and continue until the resettlement is deemed closed in accordance with a third-party closure audit. Monitoring reports will be completed by the CIT. Internal monitoring will be thematically carried out at two process levels: during the resettlement compensation payment period and after that period (post-compensation payment period). A monthly monitoring schedule is recommended during the implementation process.

Thematic periods			
Resettlement compensation payment		Post-resettlement compensation	
period		payment period	
1.	Number of PAPs compensated.	1.	Number of PAPs with successfully
2.	Number of PAPs who have restored		restored livelihoods and assets,
	their livelihood enterprises.	2.	Number of PAPs who have
3.	Number of PAPs who have		maintained social and cultural ties,
	registered grievances with CLO.	3.	No of PAPs whose grievances have
4.	Number of PAPs whose grievances		been resolved or otherwise,
	have been resolved.	4.	Number of vulnerable groups
5.	Number of vulnerable PAPs or		assisted and restored livelihood
	groups identified and assisted during		enterprise and assets.
	compensation payments		

Table 10-1: Monitoring Thematic Issues during and after Compensation Payments

10.2.2 External Monitoring

A close out audit will be undertaken once all resettlement measures have been completed to confirm that the resettlement activities have been implemented and that livelihoods have been restored. The key objective of these external audits is to determine whether Project efforts to restore/improve the living standards and livelihoods of the affected people have fully executed and their objectives have been met.

10.3 Monitoring Indicators

10.3.1 Performance Monitoring

Performance milestones for this Project might include:

- Grievance redress procedures in place and functioning to an agreed timeframe.
- Acquisition, compensation, and resettlement activities completed.
- Compensation payments disbursed.
- Livelihood restoration plans for vulnerable households in place.

10.3.2 Impact Monitoring

Impact monitoring gauges the effectiveness of the RAP and its implementation in meeting the overall objectives of restoring standard of living and livelihoods of the affected communities. The purpose of impact monitoring is to provide an assessment of the effects of the resettlement process and to identify adjustments required in the RAP, as required. The relevant monitoring indicators against which to measure the RAP implementation effectiveness are presented in **Table 10-2**. An illustration of a monitoring form is provided as **Annex H**.

Activity/Parameters	Indicators
Compensation payments to PAPs	Number of PAPs promptly paid Number of PAPs not paid promptly and reasons Amounts of money paid to PAPs
Restoration of livelihoods and assets	Number of PAPs with restored assets Number of PAPs with livelihood levels restored (based on socio-baseline survey) Number of vulnerable PAPs assisted Type of assistance provided to vulnerable PAPs Number of PAPs without restored assets Number of PAPs without restored livelihood enterprises Number of community facilities not restored and reasons Number of vulnerable PAPs not assisted and reasons
Community participation and public engagement	Number of consultative meetings held Number of County and National Government leaders engaged/briefed about the RAP Number of Civil Society representatives engaged/briefed about the RAP
Grievance management	Number of grievances received Number of grievances resolved promptly Number of grievances not resolved in time but completed Number of outstanding grievances not resolved Number of grievances referred Nature of outcomes from referred grievances
Mutation and registration of land rights	Number of mutation forms signed by PAPs Number of land titles received Number of land titles processed and returned to owners Number of land tittles not processed Number or percentage of encumbrances entered on PAPs' titles

Table 10-2: Proposed Monitoring Indicators

10.4 RAP Completion and Audit Report

CWWDA will at the end of the RAP implementation submit a final report to the financiers. The final report will indicate the effectiveness of the RAP implementation process, including: the organization and delivery of compensation payments and other

resettlement measures; the grievance handling system; the M&E system; the community and public engagements, including vulnerable PAPs; and the socioeconomic impacts of the resettlement measures. The final report will give an overall assessment of the RAP outputs against inputs indicating the planned activities completed and not completed as well as the lessons learnt during the RAP implementation.

The completion audit is intended to verify the results of the RAP implementation indicators, and to assess whether the RAP implementation achieved the resettlement objectives. A specific question for the final audit is whether livelihood and living standards have been restored or enhanced. If the answer is positive, then the RAP implementation will be considered to be completed. If the response is negative (i.e 'No') the RAP can be considered complete but with a workplan for providing additional support to address the gaps. The audit will also assess the efficiency, effectiveness, impact and sustainability of the RAP sub-project activities and document the lessons learnt for application to future sub-projects or other projects in the sector and in the country. Finally, the RAP completion audit will ascertain whether the resettlement entitlements were appropriate, as defined in the RPF guidelines. CWWDA will engage a qualified firm to prepare the audit and will submit the completion audit report to the World Bank and AFD for review and appropriate action. CWWDA will be responsible for sourcing of and assigning to qualified firm (s) the undertaking of the completion audit.

II APPENDICES

Annex A: PAPs Valuation Roll

Annex B: Stakeholder Consultation Minutes

- Annex C: Stakeholder Consultation Attendance List
- Annex D: Selected Photographs
- Annex E: Sample GRM Form
- Annex F: Sample GRM Acknowledgment Registration Form
- Annex G: Sample Grievance Investigation Form
- Annex H: Sample Grievance Investigation Outcome Form
- Annex I : Questionnaires
- Annex J : Introduction Letter

II.I Annex A: PAPs Valuation Roll

II.2 Annex B: Stakeholder Consultation Minutes

II.3 Annex C: Stakeholder Consultation Attendance List

11.4 Annex D: Selected Photographs



Consultation Pictures in Kwale County-Kasemeni, Bonje



Sample of affected structures along the project routing



A Consultant mapping out the affected assets along the project routing

II.5 Annex E: Sample GRM Form GRIEVANCE FORM

Grievance Form		
Grievance Number		Copies to forward to:
Name of the Recorder		(Original)-Receiver Party
Sub-County		(Copy)-Responsible Party
Date		
INFORMATION ABOU	T GRIEVANCE	
Define the Grievance:		
		Forms of Receive
INFORMATION ABOU	T THE COMPLAINANT	
Name-Surname		□Phone Line
Telephone Number		□Community/
Address		Information
Village		Meetings □ Mail
Sub-County		\square Informal
Signature of		\Box Other
Complainant		
DETAILS OF GRIEVA	NCE	
GRIEVANCE Com	ment	
RESOLUTION		

II.6 Annex F: Sample GRM Acknowledgment Form

GRIEVANCE STATEMENT FORM

This form it for acknowledging of receipt of you Grievance we will inform you the investigation of your Grievance within ten days (10) from the receipt of your Grievance

Name of the Complainant:

Address/House Number:

Town/Village:

Cell phone number:

Grievance Reference number:

Place where the Grievance received :

Name of the Community Relations Officer

Who received the Grievance:

Signature:

Date:

Initial register date (in case this Grievance was previously registered through the Village Office)

Name of the Complainant:	
Address:	
Town/Village/Company:	
Cell Phone Number	
Gender:	
Age:	
Grievance Subject:	
Grievance Reference Number:	

Grievance Statement:		
Complaint Signature:		Date:
Name/Signature of Communit	y Relation Officer:	Date:

Name/Signature of the Village Chairman/Executive	Date:
Officer:	

II.7 Annex G: Sample Grievance Investigation Form

GRIEVANCE INVESTIGATION FORM

Name of the Complainant:
Address:
Town/Company:
Cellphone number:
Gender:
Age:
Grievance Subject:
Grievance Reference number:
Grievance Investigation:
Details/Facts

Investigators Signature:	Date:
CR Officer Signature:	Date:
Witness Name/Signature:	Date:
Name/Village Head	Date:

11.8 Annex H: Grievance Investigation Outcome Form

GRIEVANCE INVESTIGATION OUTCOME FORM

Grievance Reference Number:
Complainant Name:
Address:
Town/Village:
Cell phone number:
Grievance Subject:
Investigation Completion Date:
Investigation details:
I agree that I have received the outcome of the Investigation
Signature: Name of the Complainant: Date:-

I agree that I have been informed with respect on the Investigation outcome of my Grievance. I accept the outcome and that I have no objection.

Signature : -----

II.9 Annex I: Socio-Economic and Asset Inventory Questionnaire

11.10 Annex J: Introductory Letter



Ref. : CWSB/NEMA/171/VOL.III/60

Date : 21st October 2022

TO WHOM IT MAY CONCERN

CONSULTANCY SERVICES FOR PREPARATION OF FINAL ENVIRONMENTAL AND SOCIAL IMPACT ASSESSMENT (ESIA) STUDIES/PROJECT REPORTS AND FINAL RESETTLEMENT ACTION PLANS (RAP) FOR PROPOSED MWACHE/WEST MAINLAND MWACHE/NORTH MAINLAND PIPELINES

Coast Water Works Development Agency (CWWDA) is in the process of implementing Water and Sanitation Development Project (WSDP) funded by World Bank in parts of the Coast Region. The implementation of this project will trigger the World Bank's operational policies:

OP 4.01 Environmental Assessment

OP 4.12 Involuntary Resettlement

To mitigate the negative impacts of the project on the environment and social aspect of the community in the area it is required by the World Bank policies and the Kenyan Environmental Management and Coordination Act 1999 (Amended 2015) to carry out Environmental and Social Impact Assessment Studies and prepare the requisite reports (ESIA) and Resettlement Action Plans) for the respective sub-projects. CWWDA has engaged EMC Consultants to develop and prepare the safeguards reports (ESIA and RAP) for the Mwache/West Mainland and Mwache/North mainland pipelines.

This is therefore, to request for your cooperation and support to the firm in order to undertake the exercise.

Thank you in advance for your support.

Eng. Martin Tsuma Aq. CHIEF EXECUTIVE OFFICER

P.O. Box 90417 - 80100 Mombasa Kenya Tel: No. 041 - 2315230 0731-231523 Email: info@cwwda.go.ke Website: www.cwwda.go.ke complaints@cwwda.co.ke